

**State of California
Office of Administrative Law**

In re:
Department of Financial Protection and
Innovation

Regulatory Action:

Title 10, California Code of Regulations

Adopt sections:

Amend sections: 2034, 2034.5, 2036, 2037.5,
2039, 2040.5

Repeal sections:

NOTICE OF APPROVAL OF CHANGES
WITHOUT REGULATORY EFFECT

California Code of Regulations, Title 1,
Section 100

OAL Matter Number: 2021-0319-01

OAL Matter Type: Nonsubstantive (N)

In this action without regulatory effect the Department of Financial Protection and Innovation amends several sections to update the name of the agency and update cross-references to forms and statutes.

OAL approves this change without regulatory effect as meeting the requirements of California Code of Regulations, title 1, section 100.

Date: May 3, 2021



Amy R. Gowan
Attorney

For: Kenneth J. Pogue
Director

Original: Manuel P. Alvarez,
Copy: Mary D. Tome

NONSUBSTANTIVE

For use by Secretary of State only

OAL FILE NUMBERS	NOTICE FILE NUMBER Z-	REGULATORY ACTION NUMBER 2021-0319-01	EMERGENCY NUMBER N
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ENDORSED - FILED
 in the office of the Secretary of State
 of the State of California

MAY 03 2021
1:28 PM

For use by Office of Administrative Law (OAL) only	
NOTICE	REGULATIONS

2021 MAR 19 P 1:10
 OFFICE OF
 ADMINISTRATIVE LAW

AGENCY WITH RULEMAKING AUTHORITY
 Department of Financial Protection and Innovation

AGENCY FILE NUMBER (if any)
 PRO 01/17

A. PUBLICATION OF NOTICE (Complete for publication in Notice Register)

1. SUBJECT OF NOTICE	TITLE(S)	FIRST SECTION AFFECTED	2. REQUESTED PUBLICATION DATE
3. NOTICE TYPE <input type="checkbox"/> Notice re Proposed Regulatory Action <input type="checkbox"/> Other	4. AGENCY CONTACT PERSON	TELEPHONE NUMBER	FAX NUMBER (Optional)
OAL USE ONLY	ACTION ON PROPOSED NOTICE <input type="checkbox"/> Approved as Submitted <input type="checkbox"/> Approved as Modified <input type="checkbox"/> Disapproved/Withdrawn		NOTICE REGISTER NUMBER
			PUBLICATION DATE

B. SUBMISSION OF REGULATIONS (Complete when submitting regulations)

1a. SUBJECT OF REGULATION(S) Student Loan Servicing Act	1b. ALL PREVIOUS RELATED OAL REGULATORY ACTION NUMBER(S) <u>2019-0219-07 SR and 2020-1116-03 EP</u> <i>per agency request</i>
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SECTION(S) AFFECTED (List all section number(s) individually. Attach additional sheet if needed.)	ADOPT
	AMEND Sections 2034, 2034.5, 2036, 2037.5, 2039 and 2040.5.
	REPEAL
TITLE(S) 10	

3. TYPE OF FILING

<input type="checkbox"/> Regular Rulemaking (Gov. Code §11346)	<input type="checkbox"/> Certificate of Compliance: The agency officer named below certifies that this agency complied with the provisions of Gov. Code §§11346.2-11347.3 either before the emergency regulation was adopted or within the time period required by statute.	<input type="checkbox"/> Emergency Readopt (Gov. Code, §11346.1(h))	<input checked="" type="checkbox"/> Changes Without Regulatory Effect (Cal. Code Regs., title 1, §100)
<input type="checkbox"/> Resubmittal of disapproved or withdrawn nonemergency filing (Gov. Code §§11349.3, 11349.4)	<input type="checkbox"/> Resubmittal of disapproved or withdrawn emergency filing (Gov. Code, §11346.1)	<input type="checkbox"/> File & Print	<input type="checkbox"/> Print Only
<input type="checkbox"/> Emergency (Gov. Code, §11346.1(b))		<input type="checkbox"/> Other (Specify)	

4. ALL BEGINNING AND ENDING DATES OF AVAILABILITY OF MODIFIED REGULATIONS AND/OR MATERIAL ADDED TO THE RULEMAKING FILE (Cal. Code Regs. title 1, §44 and Gov. Code §11347.1)

5. EFFECTIVE DATE OF CHANGES (Gov. Code, §§ 11343.4, 11346.1(d); Cal. Code Regs., title 1, §100)

<input type="checkbox"/> Effective January 1, April 1, July 1, or October 1 (Gov. Code §11343.4(a))	<input type="checkbox"/> Effective on filing with Secretary of State	<input checked="" type="checkbox"/> \$100 Changes Without Regulatory Effect	<input type="checkbox"/> Effective other (Specify)
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6. CHECK IF THESE REGULATIONS REQUIRE NOTICE TO, OR REVIEW, CONSULTATION, APPROVAL OR CONCURRENCE BY, ANOTHER AGENCY OR ENTITY

<input type="checkbox"/> Department of Finance (Form STD. 399) (SAM §6660)	<input type="checkbox"/> Fair Political Practices Commission	<input type="checkbox"/> State Fire Marshal
<input type="checkbox"/> Other (Specify)		

7. CONTACT PERSON Mary D. Tomé	TELEPHONE NUMBER (949) 378-9522	FAX NUMBER (Optional)	E-MAIL ADDRESS (Optional) mary.tome@dfpi.ca.gov
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8. I certify that the attached copy of the regulation(s) is a true and correct copy of the regulation(s) identified on this form, that the information specified on this form is true and correct, and that I am the head of the agency taking this action, or a designee of the head of the agency, and am authorized to make this certification.

SIGNATURE OF AGENCY HEAD OR DESIGNEE Jennifer L.W. Rumberger <small>Digitally signed by Jennifer L.W. Rumberger Date: 2021.03.19 09:03:42 -0700</small>	DATE March 19, 2021
TYPED NAME AND TITLE OF SIGNATORY Jennifer L.W. Rumberger, Deputy Commissioner, Legal Division	

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ENDORSED APPROVED

MAY 03 2021

Office of Administrative Law