STATE OF CALIFORNIA - DEPARTMENT OF FINANCIAL PROTECTION AND INNOVATION

GUIDELINES FOR FRANCHISE REGISTRATION

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Form E - Sales Agent Disclosure Form

	SALES AGENT DISCLOSURE FO	KM
1.	List the persons who will offer or sell franchises in this	state. For each person state:
	A. Name;	
	B. Business address and telephone number;	
	C. Home address and telephone number;	
	D. Present employer;	
	E. Present title;	
	F. Social Security Number;	
	G. Birthdate; and	
	H. Employment during the past five years. For each er the employer, position held, and beginning and end	
2.	State whether any person identified in 1. above:	
	A. Has any administrative, civil or criminal action pend franchise or securities law, fraud, embezzlement, fra of trade, unfair or deceptive practices, misappropria comparable allegations?	audulent conversion, restraint
	B. Had during the ten-year period immediately before date:	the Disclosure Document
	 been convicted of a felony or pleaded nolo conte been held liable in a civil action by final judgmen involved a violation of franchise or securities law fraudulent conversion, restraint of trade, unfair of misappropriation of property or comparable violation. 	nt if the felony or civil action y, fraud, embezzlement, or deceptive practices,
	YES NO	

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2.	entered into or been named in a consent judgment, decree, order or assurance under federal or state franchise, securities, anti-trust, monopoly, trade practice or trade regulation law?	
	YES NO	
3.	been subject to an order or national securities association or national securities exchange as defined in the Securities and Exchange Act of 193 suspending or expelling the person from membership in the association o exchange?	
	YESNO	

- C. For each above question answered "YES" state:
 - 1. the name of the person or entity involved;
 - 2. the court, agency, association or exchange involved;
 - 3. a summary of the allegations;
 - 4. if applicable, the date of the conviction, judgment, decree, order or assurance; and
 - 5. the penalty imposed, damages assessed, terms and conditions of the judgment, decree, or order or assurance.