

**Schedule T - Fiduciary and Related Services  
 (Trust Companies)  
 As of December 31, 2018**

	(Column A) Managed Assets (000s)	(Column B) Non-Managed Assets (000s)	(Column C) Number of Managed Accounts	(Column D) Number of Non-Managed Accounts	
<b>FIDUCIARY AND RELATED ASSETS</b>					
4. Personal trust and agency accounts.....	5,280,636	2,621,036	1,938	522	4.
5. Employee benefit and retirement-related trust and agency accounts:					5.
a. Employee benefit - defined contribution...	51,898	4,055,938	37	40	5.a.
b. Employee benefit - defined benefit.....	9,724	209,889	6	8	5.b.
c. Other employee benefit and retirement-related accounts.....	415,636	660,255	685	149	5.c.
6. Corporate trust and agency accounts.....	0	3	0	1	6.
7. Investment management and investment advisory agency accounts.....	38,963,245	492,463	1,688	97	7.
8. Foundation and endowment trust and agency accounts.....	383,178	185,468	88	11	8.
9. Other fiduciary accounts.....	0	156,316	0	4	9.
10. Total fiduciary accounts (sum of items 4 through 9).....	45,104,317	8,381,368	4,442	832	10.
11. Custody and safekeeping accounts.....	0	14,792,887	0	291	11.
12. Not applicable					
13. Individual Retirement Accounts, Health Savings Accounts, and other similar accounts (included in					

**Schedule T - Fiduciary and Related Services - Continued**  
**(Trust Companies)**  
**As of December 31, 2018**

Memoranda

	(Column A) Personal Trust and Agency and Investment Management Agency Accounts	(Column B) Employee Benefit and Retirement- Related Trust and Agency Accounts	(Column C) All Other Accounts	
	(000s)	(000s)	(000s)	
1. Managed assets held in fiduciary accounts:				
a. Noninterest-bearing deposits.....	-9,291	576	385	M.1.a.
b. Interest-bearing deposits.....	107,731	7,420	2,749	M.1.b.
c. U.S. Treasury and U.S. Government agency obligations.....	1,021,007	9,547	19,894	M.1.c.
d. State, county and municipal obligations.....	1,826,933	18,665	15,252	M.1.d.
e. Money market mutual funds.....	797,157	28,979	22,571	M.1.e.
f. Equity mutual funds.....	912,592	86,232	132,756	M.1.f.
g. Other mutual funds.....	402,019	38,217	49,349	M.1.g.
h. Common trust funds and collective investment funds.....	5,790,317	0	0	M.1.h.
i. Other short-term obligations.....	142,370	0	0	M.1.i.
j. Other notes and bonds.....	10,098,727	13,319	19,412	M.1.j.
k. Investments in unregistered funds and private equity investments.....	45,592	0	0	M.1.k.
l. Other common and preferred stocks.....	19,220,060	257,427	116,922	M.1.l.
m. Real estate mortgages.....	123,304	116	0	M.1.m.
n. Real estate.....	815,295	2,409	3,261	M.1.n.
o. Miscellaneous assets.....	2,938,675	12,299	0	M.1.o.
p. Total managed assets held in fiduciary accounts (for each column, sum of Memorandum items 1.a through 1.o).....	44,232,488	475,206	382,551	M.1.p.
		(Column A) Managed Assets	(Column B) Number of Managed Assets	
q. Investments of managed fiduciary accounts in advised or sponsored mutual funds.....		465,914	856	M.1.q.

## Schedule T - Fiduciary and Related Services - Continued (Trust Companies) As of December 31, 2018

Memoranda (continued)

2. Corporate trust and agency accounts:

	(Column A) Number of Issues	(Column B) Principal Amount Outstanding (000s)	
a. Corporate and municipal trusteeships.....	0	0	M.2.a.
(1) Issues reported in Memoranda 2a. that are in default.....	0	0	M.2.a.1
b. Transfer agent, registrar, paying agent, and other corporate agency...	0		M.2.b.

3. Collective investment funds and common trust funds:

	(Column A) Number of Funds	(Column B) Market Value of Fund Assets (000s)	
a. Domestic equity.....	0	0	M.3.a
b. International/Global equity.....	0	0	M.3.b.
c. Stock/Bond blend.....	0	0	M.3.c.
d. Taxable bond.....	0	0	M.3.d.
e. Municipal bond .....	0	0	M.3.e.
f. Short term investments/Money market.....	0	0	M.3.f.
g. Specialty/Other.....	0	0	M.3.g.
h. Total collective investment funds (sum of Memorandum items 3.a through 3.g).....	0	0	M.3.h.

**P. Trust Business for Which Securities Are on Deposit with the State Treasurer**

	(Column A) Court Trusts (000s)	(Column B) Private Trusts (000s)	
P.1. Trust business for which securities are on deposit with the State Treasurer.....	52,373	68,073,751	P.1.
P.2. Less: real estate.....	10,659		P.2.
P.3. Trust business on which security is required.....	41,714	68,073,751	P.3.
P.4. Amount of security required by Sections 1540 and 1541 of the Financial Code.....	1,300	650	P.4.
P.5. Market value of securities on deposit with the State Treasurer.....	1,495	1,877	P.5.
P.6. Excess or deficiency; denote negative amount in ( ).....	195	1,227	P.6.

**Schedule T - Fiduciary and Related Services - Continued**  
**(Trust Companies)**  
**As of December 31, 2018**

**INCOME**

<b>FIDUCIARY AND RELATED SERVICES INCOME</b>	<b>(000s)</b>	
14. Personal trust and agency accounts.....	40,911	14.
15. Employee benefit and retirement-related trust and agency accounts:		
a. Employee benefit-defined contribution.....	928	15.a.
b. Employee benefit-defined benefit.....	592	15.b.
c. Other employee benefit and retirement-related accounts.....	4,208	15.c.
16. Corporate trust and agency accounts.....	2	16.
17. Investment management and investment advisory agency accounts.....	194,000	17.
18. Foundation and endowment trust and agency accounts.....	2,840	18.
19. Other fiduciary accounts.....	98	19.
20. Custody and safekeeping accounts.....	2,294	20.
21. Other fiduciary and related services income.....	7,623	21.
22. Total gross fiduciary and related services income (sum of items 14 through 21).....	253,496	22.
23. Less: Expenses.....	228,705	23.
24. Less: Net losses from fiduciary and related services.....	326	24.
25. Plus: Intracompany income credits for fiduciary and related services.....	1,755	25.
26. Net fiduciary and related services income.....	26,220	26.

Memoranda	(Column A) Gross Losses Managed Accounts (000s)	(Column B) Gross Losses Non-Managed Accounts (000s)	(Column C) Recoveries (000s)	
4. Fiduciary settlements, surcharges, and other losses				
a. Personal trust and agency accounts.....	141	5	0	M.4.a.
b. Employee benefit and retirement-related trust and agency accounts.....	3	85	0	M.4.b.
c. Investment management and investment advisory agency accounts.....	91	0	0	M.4.c.
d. Other fiduciary accounts and related services.....	0	1	0	M.4.d.
e. Total fiduciary settlements, surcharges, and other losses (sum of Memorandum items 4.a through 4.d) (sum of columns A and B minus column C must equal				