

Schedule T - Fiduciary and Related Services

(Trust Companies)

as of December 31, 2014

	(Column A) Managed Assets	(Column B) Non-Managed Assets	(Column C) Number of Managed Accounts	(Column D) Number of Non-Managed Accounts	
	(000s)	(000s)			
FIDUCIARY AND RELATED ASSETS					
4. Personal trust and agency accounts.....	4,559,516	1,800,756	1,669	492	4.
5. Employee benefit and retirement- related trust and agency accounts:					5.
a. Employee benefit - defined contribution.....	202,096	3,186,006	65	29	5.a.
b. Employee benefit - defined benefit.....	91,982	307,682	7	6	5.b.
c. Other employee benefit and retirement-related accounts.....	618,953	245,552	736	161	5.c.
6. Corporate trust and agency accounts.	378,317		2		6.
7. Investment management and investment advisory agency accounts.....	70,146,981	469,581	4,974	64	7.
8. Foundation and endowment trust and agency accounts	453,456	129,346	92	13	8.
9. Other fiduciary accounts		140,360		13	9.
10. Total fiduciary accounts (sum of items 4 through 9)	76,451,301	6,279,283	7,545	778	10.
11. Custody and safekeeping accounts.....		7,605,658		198	11.
12. Not applicable					
13. Individual Retirement Accounts, Health Savings Accounts, and other similar accounts (included in items 5c and 11)	486,529	181,037	566	150	13.

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Memoranda

1. Managed assets held in fiduciary accounts:

- a. Noninterest-bearing deposits.....
- b. Interest-bearing deposits.....
- c. U.S. Treasury and U.S. Government agency obligations.....
- d. State, county and municipal obligations.....
- e. Money market mutual funds.....
- f. Equity mutual funds.....
- g. Other mutual funds.....
- h. Common trust funds and collective investment funds.....
- i. Other short-term obligations.....
- j. Other notes and bonds.....
- k. Investments in unregistered funds and private equity investments.....
- l. Other common and preferred stocks.....
- m. Real estate mortgages.....
- n. Real estate.....
- o. Miscellaneous assets.....
- p. Total managed assets held in fiduciary accounts (for each column, sum of Memorandum items 1.a through 1.o)

- q. Investments of managed fiduciary accounts in advised or sponsored mutual funds.....

	(Column A)	(Column B)	(Column C)
	Personal Trust and Agency and Investment Management Agency Accounts	Employee Benefit and Retirement-Related Trust and Agency Accounts	All Other Accounts
	(000s)	(000s)	(000s)
a.	6,817	164	2,103
b.	85,355	5,069	4,748
c.			
d.	908,547	35,106	108,382
e.	3,691,209	26,127	14,902
f.	1,771,407	70,384	33,367
g.	5,546,058	339,639	128,722
h.	2,394,167	164,951	72,786
i.			
j.	10,066,258		
k.	74,478		
l.	9,825,889	655,647	466,299
m.			
n.	128,250	18,687	22,376
o.	35,094,708	284,362	450,123
p.	79,968		
q.	579,581	1,472	12,081
	3,263,658	11,790	5,665
	73,516,350	1,613,398	1,321,554
		(Column A) Managed Assets	(Column B) Number of Managed Assets
		7,635,099	14,696

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Memoranda

2. Corporate trust and agency accounts:

	(Column A) Number of Issues	(Column B) Principal Amount Outstanding (000s)	
a. Corporate and municipal trusteeships.....			M.2.a.
(1) Issues reported in Memoranda 2a. that are in default.....			M.2.a.1
b. Transfer agent, registrar, paying agent, and other corporate agency.....			M.2.b.

3. Collective investment funds and common trust funds:

	(Column A) Number of Funds	(Column B) Market Value of Fund Assets (000s)	
a. Domestic equity.....	1	169,299	M.3.a.
b. International/Global equity.....			M.3.b.
c. Stock/Bond blend.....			M.3.c.
d. Taxable bond.....	1	209,018	M.3.d.
e. Municipal bond			M.3.e.
f. Short term investments/Money market.....			M.3.f.
g. Specialty/Other.....			M.3.g.
h. Total collective investment funds (sum of Memorandum items 3.a through 3.g).....	2	378,317	M.3.h.

P. Trust Business for Which Securities Are on Deposit with the State Treasurer

	(Column A) Court Trusts (000s)	(Column B) Private Trusts (000s)	
P.1. Trust business for which securities are on deposit with the State Treasurer.....	60,517	90,142,007	P.1.
P.2. Less: real estate.....			P.2.
P.3. Trust business on which security is required.....	60,517	90,142,007	P.3.
P.4. Amount of security required by Sections 1540 and 1541 of the Financial Code.....	1,750	850	P.4.
P.5. Market value of securities on deposit with the State Treasurer.....	2,057	1,420	P.5.
P.6. Excess or deficiency; denote negative amount in ().....	307	570	P.6.

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INCOME

FIDUCIARY AND RELATED SERVICES INCOME	(000s)	
14. Personal trust and agency accounts.....	32,160	14.
15. Employee benefit and retirement-related trust and agency accounts:		
a. Employee benefit-defined contribution	1,178	15.a.
b. Employee benefit-defined benefit.....	673	15.b.
c. Other employee benefit and retirement-related accounts.....	4,147	15.c.
16. Corporate trust and agency accounts		16.
17. Investment management and investment advisory agency accounts	363,800	17.
18. Foundation and endowment trust and agency accounts.....	2,828	18.
19. Other fiduciary accounts	1,932	19.
20. Custody and safekeeping accounts	1,671	20.
21. Other fiduciary and related services income.....	14,145	21.
22. Total gross fiduciary and related services income (sum of items 14 through 21)	422,534	22.
23. Less: Expenses.....	462,494	23.
24. Less: Net losses from fiduciary and related services.....	191	24.
25. Plus: Intracompany income credits for fiduciary and related services	17,831	25.
26. Net fiduciary and related services income	(22,320)	26.

Memoranda

	(Column A) Gross Losses Managed Accounts (000s)	(Column B) Gross Losses Non-Managed Accounts (000s)	(Column C) Recoveries (000s)	
4. Fiduciary settlements, surcharges, and other losses				
a. Personal trust and agency accounts.....	107			M.4.a.
b. Employee benefit and retirement-related trust and agency... accounts				M.4.b.
c. Investment management and investment advisory..... agency accounts.....		83		M.4.c.
d. Other fiduciary accounts and related services.....		1		M.4.d.
e. Total fiduciary settlements, surcharges, and other losses (sum of Memorandum items 4.a through 4.d) (sum of columns A and B minus column C must equal Schedule T, item 21).....	107	84		M.4.e.