

Schedule T - Fiduciary and Related Services

(Trust Companies)

as of June 30, 2016

	(Column A) Managed Assets	(Column B) Non-Managed Assets	(Column C) Number of Managed Accounts	(Column D) Number of Non-Managed Accounts	
	(000s)	(000s)			
FIDUCIARY AND RELATED ASSETS					
4. Personal trust and agency accounts.....	4,770,579	2,063,463	1,781	375	4.
5. Employee benefit and retirement- related trust and agency accounts:					5.
a. Employee benefit - defined contribution.....	70,586	3,305,363	38	37	5.a.
b. Employee benefit - defined benefit.....	87,766	313,305	10	8	5.b.
c. Other employee benefit and retirement-related accounts.....	360,904	256,005	571	149	5.c.
6. Corporate trust and agency accounts.	77,826		1	1	6.
7. Investment management and investment advisory agency accounts.....	40,071,929	473,745	1,547	78	7.
8. Foundation and endowment trust and agency accounts	390,598	100,013	87	8	8.
9. Other fiduciary accounts		139,455		3	9.
10. Total fiduciary accounts (sum of items 4 through 9)	45,830,188	6,651,349	4,035	659	10.
11. Custody and safekeeping accounts.....		10,862,950		217	11.
12. Not applicable					
13. Individual Retirement Accounts, Health Savings Accounts, and other similar accounts (included in items 5c and 11)	193,270	136,605	334	126	13.

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Memoranda

1. Managed assets held in fiduciary accounts:

- a. Noninterest-bearing deposits.....
- b. Interest-bearing deposits.....
- c. U.S. Treasury and U.S. Government agency obligations.....
- d. State, county and municipal obligations.....
- e. Money market mutual funds.....
- f. Equity mutual funds.....
- g. Other mutual funds.....
- h. Common trust funds and collective investment funds.....
- i. Other short-term obligations.....
- j. Other notes and bonds.....
- k. Investments in unregistered funds and private equity investments.....
- l. Other common and preferred stocks.....
- m. Real estate mortgages.....
- n. Real estate.....
- o. Miscellaneous assets.....
- p. Total managed assets held in fiduciary accounts (for each column, sum of Memorandum items 1.a through 1.o)

- q. Investments of managed fiduciary accounts in advised or sponsored mutual funds.....

(Column A)	(Column B)	(Column C)	
Personal Trust and Agency and Investment Management Agency Accounts	Employee Benefit and Retirement-Related Trust and Agency Accounts	All Other Accounts	
(000s)	(000s)	(000s)	
-4,285	2,272	104	M.1.a.
76,504	9,521	3,637	M.1.b.
391,599	13,892	21,961	M.1.c.
1,656,426	26,166	17,728	M.1.d.
620,346	51,509	32,451	M.1.e.
492,691	66,695	110,514	M.1.f.
549,907	68,440	69,163	M.1.g.
7,196,095			M.1.h.
85,800			M.1.i.
10,882,400	22,278	81,231	M.1.j.
91,397	5,005	20,533	M.1.k.
19,297,937	236,831	135,669	M.1.l.
73,304	137		M.1.m.
718,651	2,054	2,438	M.1.n.
2,673,610	13,211	3,624	M.1.o.
44,802,382	518,011	499,053	M.1.p.
	(Column A) Managed Assets	(Column B) Number of Managed Assets	
	417,068	769	M.1.q.

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2. Corporate trust and agency accounts:

	(Column A) Number of Issues	(Column B) Principal Amount Outstanding (000s)	
a. Corporate and municipal trusteeships.....			M.2.a.
(1) Issues reported in Memoranda 2a. that are in default.....			M.2.a.1
b. Transfer agent, registrar, paying agent, and other corporate agency.....			M.2.b.

3. Collective investment funds and common trust funds:

	(Column A) Number of Funds	(Column B) Market Value of Fund Assets (000s)	
a. Domestic equity.....			M.3.a.
b. International/Global equity.....			M.3.b.
c. Stock/Bond blend.....			M.3.c.
d. Taxable bond.....	1	77,826	M.3.d.
e. Municipal bond.....			M.3.e.
f. Short term investments/Money market.....			M.3.f.
g. Specialty/Other.....			M.3.g.
h. Total collective investment funds (sum of Memorandum items 3.a through 3.g).....	1	77,826	M.3.h.

P. Trust Business for Which Securities Are on Deposit with the State Treasurer

	(Column A) Court Trusts (000s)	(Column B) Private Trusts (000s)	
P.1. Trust business for which securities are on deposit with the State Treasurer.....	59,443	63,155,543	P.1.
P.2. Less: real estate.....			P.2.
P.3. Trust business on which security is required.....	59,443	63,155,543	P.3.
P.4. Amount of security required by Sections 1540 and 1541 of the Financial Code.....	1,900	851	P.4.
P.5. Market value of securities on deposit with the State Treasurer.....	2,243	1,449	P.5.
P.6. Excess or deficiency; denote negative amount in ().....	343	598	P.6.

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INCOME

FIDUCIARY AND RELATED SERVICES INCOME	(000s)	
14. Personal trust and agency accounts.....	15,670	14.
15. Employee benefit and retirement-related trust and agency accounts:		
a. Employee benefit-defined contribution	447	15.a.
b. Employee benefit-defined benefit.....	246	15.b.
c. Other employee benefit and retirement-related accounts.....	1,413	15.c.
16. Corporate trust and agency accounts		16.
17. Investment management and investment advisory agency accounts	104,319	17.
18. Foundation and endowment trust and agency accounts.....	1,117	18.
19. Other fiduciary accounts	152	19.
20. Custody and safekeeping accounts	695	20.
21. Other fiduciary and related services income.....	3,424	21.
22. Total gross fiduciary and related services income (sum of items 14 through 21)	127,483	22.
23. Less: Expenses.....	118,877	23.
24. Less: Net losses from fiduciary and related services.....	75	24.
25. Plus: Intracompany income credits for fiduciary and related services	521	25.
26. Net fiduciary and related services income	9,052	26.

Memoranda

Memoranda	(Column A) Gross Losses Managed Accounts (000s)	(Column B) Gross Losses Non-Managed Accounts (000s)	(Column C) Recoveries (000s)	
4. Fiduciary settlements, surcharges, and other losses				
a. Personal trust and agency accounts.....	0	42		M.4.a.
b. Employee benefit and retirement-related trust and agency... accounts	2			M.4.b.
c. Investment management and investment advisory..... agency accounts.....		31		M.4.c.
d. Other fiduciary accounts and related services.....				M.4.d.
e. Total fiduciary settlements, surcharges, and other losses (sum of Memorandum items 4.a through 4.d) (sum of columns A and B minus column C must equal Schedule T, item 21).....	2	73		M.4.e.