

Schedule T - Fiduciary and Related Services

(Trust Companies)

as of June 30, 2018

	(Column A) Managed Assets	(Column B) Non-Managed Assets	(Column C) Number of Managed Accounts	(Column D) Number of Non-Managed Accounts	
	(000s)	(000s)			
FIDUCIARY AND RELATED ASSETS					
4. Personal trust and agency accounts.....	5,743,368	2,656,558	1,954	504	4.
5. Employee benefit and retirement-related trust and agency accounts:					5.
a. Employee benefit - defined contribution.....	57,380	4,435,637	39	44	5.a.
b. Employee benefit - defined benefit.....	16,675	396,686	11	8	5.b.
c. Other employee benefit and retirement-related accounts.....	447,190	643,413	658	149	5.c.
6. Corporate trust and agency accounts.....		2		1	6.
7. Investment management and investment advisory agency accounts.....	42,931,999	507,536	1,669	94	7.
8. Foundation and endowment trust and agency accounts.....	446,224	167,154	96	11	8.
9. Other fiduciary accounts.....		176,449		4	9.
10. Total fiduciary accounts (sum of items 4 through 9).....	49,642,836	8,983,435	4,427	815	10.
11. Custody and safekeeping accounts.....		11,940,345		279	11.
12. Not applicable					
13. Individual Retirement Accounts, Health Savings Accounts, and other similar accounts (included in items 5c and 11)	224,783	538,136	373	141	13.

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Memoranda

1. Managed assets held in fiduciary accounts:

- a. Noninterest-bearing deposits.....
- b. Interest-bearing deposits.....
- c. U.S. Treasury and U.S. Government agency obligations.....
- d. State, county and municipal obligations.....
- e. Money market mutual funds.....
- f. Equity mutual funds.....
- g. Other mutual funds.....
- h. Common trust funds and collective investment funds.....
- i. Other short-term obligations.....
- j. Other notes and bonds.....
- k. Investments in unregistered funds and private equity investments.....
- l. Other common and preferred stocks.....
- m. Real estate mortgages.....
- n. Real estate.....
- o. Miscellaneous assets.....
- p. Total managed assets held in fiduciary accounts (for each column, sum of Memorandum items 1.a through 1.o)

q. Investments of managed fiduciary accounts in advised or sponsored mutual funds.....

(Column A)	(Column B)	(Column C)	
Personal Trust and Agency and Investment Management Agency Accounts	Employee Benefit and Retirement-Related Trust and Agency Accounts	All Other Accounts	
(000s)	(000s)	(000s)	
-8,534	2,008	517	M.1.a.
91,534	9,710	4,478	M.1.b.
508,921	10,083	18,176	M.1.c.
2,111,379	20,857	16,897	M.1.d.
586,636	31,340	17,424	M.1.e.
1,329,875	95,197	171,865	M.1.f.
391,589	35,913	50,718	M.1.g.
6,546,729			M.1.h.
237,858			M.1.i.
10,418,747	13,573	24,003	M.1.j.
43,246	100		M.1.k.
22,248,164	283,897	138,300	M.1.l.
123,640	240		M.1.m.
813,295	2,613	3,117	M.1.n.
3,216,633	13,371		M.1.o.
48,659,712	518,902	445,495	M.1.p.
	(Column A) Managed Assets	(Column B) Number of Managed Assets	
	607,244	939	M.1.q.

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2. Corporate trust and agency accounts:

	(Column A) Number of Issues	(Column B) Principal Amount Outstanding (000s)	
a. Corporate and municipal trusteeships.....			M.2.a.
(1) Issues reported in Memoranda 2a. that are in default.....			M.2.a.1
b. Transfer agent, registrar, paying agent, and other corporate agency.....			M.2.b.

3. Collective investment funds and common trust funds:

	(Column A) Number of Funds	(Column B) Market Value of Fund Assets (000s)	
a. Domestic equity.....			M.3.a
b. International/Global equity.....			M.3.b.
c. Stock/Bond blend.....			M.3.c.
d. Taxable bond.....			M.3.d.
e. Municipal bond.....			M.3.e.
f. Short term investments/Money market.....			M.3.f.
g. Specialty/Other.....			M.3.g.
h. Total collective investment funds (sum of Memorandum items 3.a through 3.g).....			M.3.h.

P. Trust Business for Which Securities Are on Deposit with the State Treasurer

	(Column A) Court Trusts (000s)	(Column B) Private Trusts (000s)	
P.1. Trust business for which securities are on deposit with the State Treasurer.....	54,751	70,371,296	P.1.
P.2. Less: real estate.....			P.2.
P.3. Trust business on which security is required.....	54,751	70,371,296	P.3.
P.4. Amount of security required by Sections 1540 and 1541 of the Financial Code.....			
	1,300	650	P.4.
P.5. Market value of securities on deposit with the State Treasurer.....	1,502	1,868	P.5.
P.6. Excess or deficiency; denote negative amount in ()......	202	1,218	P.6.

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INCOME

FIDUCIARY AND RELATED SERVICES INCOME	(000s)	
14. Personal trust and agency accounts.....	20,402	14.
15. Employee benefit and retirement-related trust and agency accounts:		
a. Employee benefit-defined contribution	536	15.a.
b. Employee benefit-defined benefit.....	291	15.b.
c. Other employee benefit and retirement-related accounts.....	2,055	15.c.
16. Corporate trust and agency accounts		16.
17. Investment management and investment advisory agency accounts	103,478	17.
18. Foundation and endowment trust and agency accounts.....	1,425	18.
19. Other fiduciary accounts	44	19.
20. Custody and safekeeping accounts	1,052	20.
21. Other fiduciary and related services income.....	3,784	21.
22. Total gross fiduciary and related services income (sum of items 14 through 21)	133,067	22.
23. Less: Expenses.....	121,296	23.
24. Less: Net losses from fiduciary and related services.....	179	24.
25. Plus: Intracompany income credits for fiduciary and related services	1,169	25.
26. Net fiduciary and related services income	12,760	26.

Memoranda

Memoranda	(Column A) Gross Losses Managed Accounts (000s)	(Column B) Gross Losses Non-Managed Accounts (000s)	(Column C) Recoveries (000s)	
4. Fiduciary settlements, surcharges, and other losses				
a. Personal trust and agency accounts.....	122	5		M.4.a.
b. Employee benefit and retirement-related trust and agency... accounts	4			M.4.b.
c. Investment management and investment advisory..... agency accounts.....	45	2		M.4.c.
d. Other fiduciary accounts and related services.....		1		M.4.d.
e. Total fiduciary settlements, surcharges, and other losses (sum of Memorandum items 4.a through 4.d) (sum of columns A and B minus column C must equal Schedule T, item 21).....	171	8		M.4.e.