

## Schedule T - Fiduciary and Related Services

(Trust Companies)

as of June 30, 2012

	(Column A) Managed Assets	(Column B) Non-Managed Assets	(Column C) Number of Managed Accounts	(Column D) Number of Non-Managed Accounts	
	(000s)	(000s)			
<b>FIDUCIARY AND RELATED ASSETS</b>					
4. Personal trust and agency accounts.....	4,194,500	599,010	1,458	276	4.
5. Employee benefit and retirement- related trust and agency accounts:					5.
a. Employee benefit - defined contribution.....	505,777	2,397,196	38	23	5.a.
b. Employee benefit - defined benefit.....	137,634	291,577	11	8	5.b.
c. Other employee benefit and retirement-related accounts.....	502,726	161,816	585	191	5.c.
6. Corporate trust and agency accounts.					6.
7. Investment management and investment advisory agency accounts.....	69,220,750	280,676	4,172	48	7.
8. Foundation and endowment trust and agency accounts	337,089	142,103	102	25	8.
9. Other fiduciary accounts .....	758,398	114,094	17	8	9.
10. Total fiduciary accounts (sum of items 4 through 9) .....	75,656,874	3,986,472	6,383	579	10.
11. Custody and safekeeping accounts.....		7,198,748		275	11.
12. Not applicable					
13. Individual Retirement Accounts, Health Savings Accounts, and other similar accounts (included in items 5c and 11)	413,837	157,569	240	176	13.

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as of June 30, 2012

Memoranda

1. Managed assets held in fiduciary accounts:

- a. Noninterest-bearing deposits.....
- b. Interest-bearing deposits.....
- c. U.S. Treasury and U.S. Government agency obligations.....
- d. State, county and municipal obligations.....
- e. Money market mutual funds.....
- f. Equity mutual funds.....
- g. Other mutual funds.....
- h. Common trust funds and collective investment funds.....
- i. Other short-term obligations.....
- j. Other notes and bonds.....
- k. Investments in unregistered funds and private equity investments.....
- l. Other common and preferred stocks.....
- m. Real estate mortgages.....
- n. Real estate.....
- o. Miscellaneous assets.....
- p. Total managed assets held in fiduciary accounts (for each column, sum of Memorandum items 1.a through 1.o)

- q. Investments of managed fiduciary accounts in advised or sponsored mutual funds.....

	(Column A)	(Column B)	(Column C)
	Personal Trust and Agency and Investment Management Agency Accounts	Employee Benefit and Retirement-Related Trust and Agency Accounts	All Other Accounts
	(000s)	(000s)	(000s)
	7,076	1,567	2,004
	89,536	6,655	12,081
	957,098	41,728	40,183
	3,857,575	26,803	10,046
	1,793,997	78,223	89,699
	3,953,867	256,049	75,072
	2,097,335	140,177	60,004
	10,194,075		
	41,895		
	12,381,147	305,469	753,057
	55,948	36,506	16,364
	31,495,025	235,030	4,523,882
	76,899	40	73
	375,510	4,244	2,415
	2,550,543	13,643	-1,671
	69,927,526	1,146,134	5,583,209
		(Column A) Managed Assets	(Column B) Number of Managed Assets
		5,935,793	9,892

M.1.a.  
M.1.b.  
M.1.c.  
M.1.d.  
M.1.e.  
M.1.f.  
M.1.g.  
M.1.h.  
M.1.i.  
M.1.j.  
M.1.k.  
M.1.l.  
M.1.m.  
M.1.n.  
M.1.o.  
M.1.p.  
M.1.q.

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Memoranda

2. Corporate trust and agency accounts:

	(Column A) Number of Issues	(Column B) Principal Amount Outstanding (000s)	
a. Corporate and municipal trusteeships.....			M.2.a.
(1) Issues reported in Memoranda 2a. that are in default.....			M.2.a.1
b. Transfer agent, registrar, paying agent, and other corporate agency.....			M.2.b.

3. Collective investment funds and common trust funds:

	(Column A) Number of Funds	(Column B) Market Value of Fund Assets (000s)	
a. Domestic equity.....	6	344,021	M.3.a.
b. International/Global equity.....	23	6,689,150	M.3.b.
c. Stock/Bond blend.....	5	1,168,269	M.3.c.
d. Taxable bond.....	17	3,191,144	M.3.d.
e. Municipal bond .....			M.3.e.
f. Short term investments/Money market.....			M.3.f.
g. Specialty/Other.....			M.3.g.
h. Total collective investment funds (sum of Memorandum items 3.a through 3.g).....	51	11,392,584	M.3.h.

**P. Trust Business for Which Securities Are on Deposit with the State Treasurer**

	(Column A) Court Trusts (000s)	(Column B) Private Trusts (000s)	
P.1. Trust business for which securities are on deposit with the State Treasurer.....	39,003	87,078,396	P.1.
P.2. Less: real estate.....			P.2.
P.3. Trust business on which security is required.....	39,003	87,078,396	P.3.
P.4. Amount of security required by Sections 1540 and 1541 of the Financial Code.....	1,750	900	P.4.
P.5. Market value of securities on deposit with the State Treasurer.....	2,124	1,438	P.5.
P.6. Excess or deficiency; denote negative amount in ( ).....	374	538	P.6.

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**as of June 30, 2012**

**INCOME**

FIDUCIARY AND RELATED SERVICES INCOME	(000s)	
14. Personal trust and agency accounts.....	11,451	14.
15. Employee benefit and retirement-related trust and agency accounts:		
a. Employee benefit-defined contribution .....	975	15.a.
b. Employee benefit-defined benefit.....	306	15.b.
c. Other employee benefit and retirement-related accounts.....	1,424	15.c.
16. Corporate trust and agency accounts .....		16.
17. Investment management and investment advisory agency accounts .....	135,275	17.
18. Foundation and endowment trust and agency accounts.....	1,058	18.
19. Other fiduciary accounts .....	1,749	19.
20. Custody and safekeeping accounts .....	853	20.
21. Other fiduciary and related services income.....	8,735	21.
22. Total gross fiduciary and related services income (sum of items 14 through 21) .....	161,826	22.
23. Less: Expenses.....	208,644	23.
24. Less: Net losses from fiduciary and related services.....	42	24.
25. Plus: Intracompany income credits for fiduciary and related services .....	15,307	25.
26. Net fiduciary and related services income .....	(31,553)	26.

Memoranda

Memoranda	(Column A) Gross Losses Managed Accounts (000s)	(Column B) Gross Losses Non-Managed Accounts (000s)	(Column C) Recoveries  (000s)	
4. Fiduciary settlements, surcharges, and other losses				
a. Personal trust and agency accounts.....	34	8		M.4.a.
b. Employee benefit and retirement-related trust and agency... accounts				M.4.b.
c. Investment management and investment advisory..... agency accounts.....				M.4.c.
d. Other fiduciary accounts and related services.....				M.4.d.
e. Total fiduciary settlements, surcharges, and other losses (sum of Memorandum items 4.a through 4.d) (sum of columns A and B minus column C must equal Schedule T, item 21).....	34	8		M.4.e.