

## Schedule T - Fiduciary and Related Services

(Trust Companies)

as of March 31, 2011

	(Column A) Managed Assets	(Column B) Non-Managed Assets	(Column C) Number of Managed Accounts	(Column D) Number of Non-Managed Accounts	
	(000s)	(000s)			
<b>FIDUCIARY AND RELATED ASSETS</b>					
4. Personal trust and agency accounts.....	3,170,134	542,685	1,364	218	4.
5. Employee benefit and retirement-related trust and agency accounts:					5.
a. Employee benefit - defined contribution.....	662,699	2,334,766	39	105	5.a.
b. Employee benefit - defined benefit.....	125,275	93,865	10	2	5.b.
c. Other employee benefit and retirement-related accounts.....	478,510	168,957	537	204	5.c.
6. Corporate trust and agency accounts.				1	6.
7. Investment management and investment advisory agency accounts.....	84,360,316	117,582	3,991	43	7.
8. Foundation and endowment trust and agency accounts	295,610	57,943	97	22	8.
9. Other fiduciary accounts .....	722,491	5,566	15	23	9.
10. Total fiduciary accounts (sum of items 4 through 9) .....	89,815,035	3,321,364	6,053	618	10.
11. Custody and safekeeping accounts.....		3,416,418		260	11.
12. Not applicable					
13. Individual Retirement Accounts, Health Savings Accounts, and other similar accounts (included in items 5c and 11)	236,790	164,044	227	195	13.

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as of March 31, 2011

Memoranda

1. Managed assets held in fiduciary accounts:

- a. Noninterest-bearing deposits.....
- b. Interest-bearing deposits.....
- c. U.S. Treasury and U.S. Government agency obligations.....
- d. State, county and municipal obligations.....
- e. Money market mutual funds.....
- f. Equity mutual funds.....
- g. Other mutual funds.....
- h. Common trust funds and collective investment funds.....
- i. Other short-term obligations.....
- j. Other notes and bonds.....
- k. Investments in unregistered funds and private equity investments.....
- l. Other common and preferred stocks.....
- m. Real estate mortgages.....
- n. Real estate.....
- o. Miscellaneous assets.....
- p. Total managed assets held in fiduciary accounts (for each column, sum of Memorandum items 1.a through 1.o)

- q. Investments of managed fiduciary accounts in advised or sponsored mutual funds.....

	(Column A)	(Column B)	(Column C)	
	Personal Trust and Agency and Investment Management Agency Accounts	Employee Benefit and Retirement-Related Trust and Agency Accounts	All Other Accounts	
	(000s)	(000s)	(000s)	
a.	4,186	811	655	M.1.a.
b.	98,798	5,748	129,121	M.1.b.
c.	852,084	38,678	17,501	M.1.c.
d.	2,852,499	14,118	7,226	M.1.d.
e.	1,446,284	69,698	71,289	M.1.e.
f.	3,696,030	282,107	72,069	M.1.f.
g.	1,724,319	110,911	45,622	M.1.g.
h.	13,800,841	2,070		M.1.h.
i.	22,097		110	M.1.i.
j.	9,215,397	443,527	550,420	M.1.j.
k.	57,835	67,532	16,081	M.1.k.
l.	42,911,893	220,797	7,526,168	M.1.l.
m.	86,858	59	100	M.1.m.
n.	440,242	3,085	1,058	M.1.n.
o.	2,889,640	7,091	12,381	M.1.o.
p.	80,099,003	1,266,232	8,449,801	M.1.p.
		(Column A) Managed Assets	(Column B) Number of Managed Assets	
		5,350,460	10,400	M.1.q.

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Memoranda

2. Corporate trust and agency accounts:

	(Column A) Number of Issues	(Column B) Principal Amount Outstanding (000s)	
a. Corporate and municipal trusteeships.....			M.2.a.
(1) Issues reported in Memoranda 2a. that are in default.....			M.2.a.1
b. Transfer agent, registrar, paying agent, and other corporate agency.....			M.2.b.

3. Collective investment funds and common trust funds:

	(Column A) Number of Funds	(Column B) Market Value of Fund Assets (000s)	
a. Domestic equity.....	7	424,274	M.3.a.
b. International/Global equity.....	27	9,950,196	M.3.b.
c. Stock/Bond blend.....	6	1,367,228	M.3.c.
d. Taxable bond.....	19	3,254,970	M.3.d.
e. Municipal bond.....	1	7,433	M.3.e.
f. Short term investments/Money market.....			M.3.f.
g. Specialty/Other.....	2	584	M.3.g.
h. Total collective investment funds (sum of Memorandum items 3.a through 3.g).....	62	15,004,685	M.3.h.

**P. Trust Business for Which Securities Are on Deposit with the State Treasurer**

	(Column A) Court Trusts (000s)	(Column B) Private Trusts (000s)	
P.1. Trust business for which securities are on deposit with the State Treasurer.....	84,072	96,396,227	P.1.
P.2. Less: real estate.....			P.2.
P.3. Trust business on which security is required.....	84,072	96,396,227	P.3.
P.4. Amount of security required by Sections 1540 and 1541 of the Financial Code.....	1,900	700	P.4.
P.5. Market value of securities on deposit with the State Treasurer.....	2,483	1,230	P.5.
P.6. Excess or deficiency; denote negative amount in ( ).....	583	530	P.6.

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**INCOME**

FIDUCIARY AND RELATED SERVICES INCOME	(000s)	
14. Personal trust and agency accounts.....	5,360	14.
15. Employee benefit and retirement-related trust and agency accounts:		
a. Employee benefit-defined contribution .....	780	15.a.
b. Employee benefit-defined benefit.....	132	15.b.
c. Other employee benefit and retirement-related accounts.....	687	15.c.
16. Corporate trust and agency accounts .....		16.
17. Investment management and investment advisory agency accounts .....	78,098	17.
18. Foundation and endowment trust and agency accounts.....	464	18.
19. Other fiduciary accounts .....	2,073	19.
20. Custody and safekeeping accounts .....	258	20.
21. Other fiduciary and related services income.....	4,715	21.
22. Total gross fiduciary and related services income (sum of items 14 through 21) .....	92,567	22.
23. Less: Expenses.....	97,151	23.
24. Less: Net losses from fiduciary and related services.....	62	24.
25. Plus: Intracompany income credits for fiduciary and related services .....	9,835	25.
26. Net fiduciary and related services income .....	5,189	26.

Memoranda

	(Column A) Gross Losses Managed Accounts (000s)	(Column B) Gross Losses Non-Managed Accounts (000s)	(Column C) Recoveries  (000s)	
4. Fiduciary settlements, surcharges, and other losses				
a. Personal trust and agency accounts.....	10			M.4.a.
b. Employee benefit and retirement-related trust and agency... accounts				M.4.b.
c. Investment management and investment advisory..... agency accounts.....		52		M.4.c.
d. Other fiduciary accounts and related services.....				M.4.d.
e. Total fiduciary settlements, surcharges, and other losses (sum of Memorandum items 4.a through 4.d) (sum of columns A and B minus column C must equal Schedule T, item 21).....	10	52		M.4.e.