

Schedule T - Fiduciary and Related Services

(Trust Companies)

as of September 30, 2011

	(Column A) Managed Assets	(Column B) Non-Managed Assets	(Column C) Number of Managed Accounts	(Column D) Number of Non-Managed Accounts	
	(000s)	(000s)			
FIDUCIARY AND RELATED ASSETS					
4. Personal trust and agency accounts.....	3,066,851	504,898	1,387	225	4.
5. Employee benefit and retirement-related trust and agency accounts:					5.
a. Employee benefit - defined contribution.....	663,608	2,077,095	38	101	5.a.
b. Employee benefit - defined benefit.....	123,751	88,929	11	2	5.b.
c. Other employee benefit and retirement-related accounts.....	430,470	161,325	558	197	5.c.
6. Corporate trust and agency accounts.				1	6.
7. Investment management and investment advisory agency accounts.....	66,924,321	121,449	4,052	49	7.
8. Foundation and endowment trust and agency accounts	260,338	50,969	96	21	8.
9. Other fiduciary accounts	755,813	1,436	15	6	9.
10. Total fiduciary accounts (sum of items 4 through 9)	72,225,152	3,006,101	6,157	602	10.
11. Custody and safekeeping accounts.....		2,981,303		231	11.
12. Not applicable					
13. Individual Retirement Accounts, Health Savings Accounts, and other similar accounts (included in items 5c and 11)	371,695	158,801	240	184	13.

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Memoranda

1. Managed assets held in fiduciary accounts:

- a. Noninterest-bearing deposits.....
- b. Interest-bearing deposits.....
- c. U.S. Treasury and U.S. Government agency obligations.....
- d. State, county and municipal obligations.....
- e. Money market mutual funds.....
- f. Equity mutual funds.....
- g. Other mutual funds.....
- h. Common trust funds and collective investment funds.....
- i. Other short-term obligations.....
- j. Other notes and bonds.....
- k. Investments in unregistered funds and private equity investments.....
- l. Other common and preferred stocks.....
- m. Real estate mortgages.....
- n. Real estate.....
- o. Miscellaneous assets.....
- p. Total managed assets held in fiduciary accounts (for each column, sum of Memorandum items 1.a through 1.o)

- q. Investments of managed fiduciary accounts in advised or sponsored mutual funds.....

	(Column A)	(Column B)	(Column C)	
	Personal Trust and Agency and Investment Management Agency Accounts	Employee Benefit and Retirement-Related Trust and Agency Accounts	All Other Accounts	
	(000s)	(000s)	(000s)	
a.	3,183	2,232	9	M.1.a.
b.	71,686	5,647	310,082	M.1.b.
c.	615,947	34,725	34,142	M.1.c.
d.	3,001,594	21,599	6,049	M.1.d.
e.	1,567,126	75,461	73,671	M.1.e.
f.	3,096,698	226,772	58,805	M.1.f.
g.	1,889,455	125,449	46,871	M.1.g.
h.	10,319,496			M.1.h.
i.	26,148		230	M.1.i.
j.	8,933,951	473,560	591,318	M.1.j.
k.	81,799	50,514	14,642	M.1.k.
l.	31,154,759	185,835	6,045,195	M.1.l.
m.	86,791	49	79	M.1.m.
n.	409,342	3,087	1,056	M.1.n.
o.	2,537,573	12,899	29,628	M.1.o.
p.	63,795,548	1,217,829	7,211,777	M.1.p.
		(Column A) Managed Assets	(Column B) Number of Managed Assets	
		4,895,361	9,347	M.1.q.

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2. Corporate trust and agency accounts:

(Column A) Number of Issues	(Column B) Principal Amount Outstanding (000s)	
		M.2.a.
(1) Issues reported in Memoranda 2a. that are in default.....		M.2.a.1
b. Transfer agent, registrar, paying agent, and other corporate agency.....		M.2.b.

3. Collective investment funds and common trust funds:

(Column A) Number of Funds	(Column B) Market Value of Fund Assets (000s)	
a. Domestic equity.....	6	349,759 M.3.a.
b. International/Global equity.....	24	6,622,190 M.3.b.
c. Stock/Bond blend.....	6	1,316,255 M.3.c.
d. Taxable bond.....	16	3,185,802 M.3.d.
e. Municipal bond		M.3.e.
f. Short term investments/Money market.....		M.3.f.
g. Specialty/Other.....		M.3.g.
h. Total collective investment funds (sum of Memorandum items 3.a through 3.g).....	52	11,474,006 M.3.h.

P. Trust Business for Which Securities Are on Deposit with the State Treasurer

	(Column A) Court Trusts (000s)	(Column B) Private Trusts (000s)	
P.1. Trust business for which securities are on deposit with the State Treasurer.....	49,162	78,162,593	P.1.
P.2. Less: real estate.....			P.2.
P.3. Trust business on which security is required.....	49,162	78,162,593	P.3.
P.4. Amount of security required by Sections 1540 and 1541 of the Financial Code.....	1,750	700	P.4.
P.5. Market value of securities on deposit with the State Treasurer.....	2,141	1,236	P.5.
P.6. Excess or deficiency; denote negative amount in ().....	391	536	P.6.

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INCOME

FIDUCIARY AND RELATED SERVICES INCOME	(000s)	
14. Personal trust and agency accounts.....	16,486	14.
15. Employee benefit and retirement-related trust and agency accounts:		
a. Employee benefit-defined contribution	2,471	15.a.
b. Employee benefit-defined benefit.....	390	15.b.
c. Other employee benefit and retirement-related accounts.....	2,072	15.c.
16. Corporate trust and agency accounts		16.
17. Investment management and investment advisory agency accounts	218,665	17.
18. Foundation and endowment trust and agency accounts.....	1,376	18.
19. Other fiduciary accounts	5,757	19.
20. Custody and safekeeping accounts	1,092	20.
21. Other fiduciary and related services income.....	14,277	21.
22. Total gross fiduciary and related services income (sum of items 14 through 21)	262,586	22.
23. Less: Expenses.....	311,511	23.
24. Less: Net losses from fiduciary and related services.....	99	24.
25. Plus: Intracompany income credits for fiduciary and related services	29,385	25.
26. Net fiduciary and related services income	(19,639)	26.

Memoranda

Memoranda	(Column A) Gross Losses Managed Accounts (000s)	(Column B) Gross Losses Non-Managed Accounts (000s)	(Column C) Recoveries (000s)	
4. Fiduciary settlements, surcharges, and other losses				
a. Personal trust and agency accounts.....	10	33		M.4.a.
b. Employee benefit and retirement-related trust and agency... accounts				M.4.b.
c. Investment management and investment advisory..... agency accounts.....		55		M.4.c.
d. Other fiduciary accounts and related services.....				M.4.d.
e. Total fiduciary settlements, surcharges, and other losses (sum of Memorandum items 4.a through 4.d) (sum of columns A and B minus column C must equal Schedule T, item 21).....	10	88		M.4.e.