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8 Attorneys for Complainant

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10 BEFORE THE DEPARTMENT OF BUSINESS OVERISGHT
11 OF THE STATE OF CALIFORNIA

12 In the Matter of) CRD NO.s: 174064
13 THE COMMISSIONER of BUSINESS)
14 OVERSIGHT,) ORDER DENYING THE INVESTMENT
15 Complainant,) ADVISER CERTIFICATE APPLICATION OF
16 v.) MISSION ADVISORY SERVICES, INC.
17 MISSION ADVISORY SERVICES, Inc.)
18 Respondent.)
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20 On or about January 15, 2015, Respondent, Mission Advisory Services, Inc., (Mission)
21 applied for investment adviser registration in the State of California. On June 30, 2015, the
22 Commissioner of Business Oversight (Commissioner) issued a Statement of Issues and Accusation
23 in Support of the Notice of Intention to Issues Orders, *inter alia*, Denying the Investment Adviser
24 Certificate Application of Respondent Mission.

25 Respondent Mission requested a hearing on the matter. A hearing was set for April 26,
26 2016, in the Office of Administrative Hearings.

27 Pursuant to a Consent Order entered into by the Commissioner of Business Oversight and
28 Mission, Respondent Mission has consented to the issuance of an order denying its application for

1 an investment adviser certificate.

2 NOW GOOD CAUSE APPEARING THEREFORE, IT IS ORDERED:

3 The application for an Investment Adviser Certificate filed by Mission Advisory Services,
4 Inc. is DENIED pursuant to Corporations Code section 25232.

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6 Dated: 4/7/16

JAN LYNN OWEN
Commissioner of Business Oversight

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By _____
MARY ANN SMITH
Deputy Commissioner
Enforcement Division

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