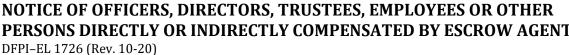
## STATE OF CALIFORNIA - DEPARTMENT OF FINANCIAL PROTECTION AND INNOVATION

### NOTICE OF OFFICERS, DIRECTORS, TRUSTEES, EMPLOYEES OR OTHER PERSONS DIRECTLY OR INDIRECTLY COMPENSATED BY ESCROW AGENTS





\*Fee: \$10.00 + DOJ Noncriminal Applicant Fingerprint Fee

Receipt No.

#### DEPARTMENT OF FINANCIAL PROTECTION AND **INNOVATION**

320 West 4th Street, Suite 750 Los Angeles, California 90013-2344 (213) 576-7690 OFFICIAL USE ONLY

PLEASE PRINT O	FileN	No				
Name of Company ADDRESS OF MA						
Street			City	State		Zip Code
EMPLOYING BRA	NCH OFFICE	·				
APPLICANT'S NA	ME: Last			First		Middle
POSITION HELD	OR TO BE HE	LD:				
HOME ADDRESS	Street		City			Zip Code
DATE OF BIRTH:_	Month			_PLACE OF	BIRTH:	
DRIVER'S LICENS	SE NUMBER:_					
HEIGHT:	WEIGHT:_		SEX:		COLOR OF EYES:	
					COLOR	OF HAIR:

<sup>\*</sup>Fees should include the Department of Financial Protection and Innovation's filing fee of (\$10.00) and the fee charged by the Department of Justice (Section11105, Penal Code) for processing Noncriminal Applicant Fingerprints.

# STATE OF CALIFORNIA – DEPARTMENT OF FINANCIAL PROTECTION AND INNOVATION **NOTICE OF OFFICERS, DIRECTORS, TRUSTEES, EMPLOYEES OR OTHER PERSONS DIRECTLY OR INDIRECTLY COMPENSATED BY ESCROW AGENTS** DFPI–EL 1726 (Rev. 10-20) Page 2 of 2

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**DFPI Collects and Uses Personal Information**: The DFPI collects the information requested on this form as authorized by the California Financial Code section 17414.1 and California Code of Regulations section 1726. The DFPI uses this information to investigate the individual's background and qualifications associated with an applicant or a licensee. Use of the personal Information DFPI collects is subject to limitations contained in the Information Practices Act of 1977 and other applicable state and federal laws.

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When providing information or documents, please do not include unrequested personal information such as a Social Security number, driver's license number, or financial information.

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- To another governmental agency as required by state or federal law.
- In response to a court order or administrative order, a subpoena or a search warrant.

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