

VERIFICATION FORM

DFPI-260.241.2(b) (Rev. 11-20)



**TO THE COMMISSIONER OF FINANCIAL PROTECTION AND
INNOVATION OF THE STATE OF CALIFORNIA**

**VERIFICATION FORM PURSUANT TO CALIFORNIA CODE
OF REGULATIONS RULE 260.241.2(b)**

(Executed WITHIN or WITHOUT of the State of
California)

I, _____, declare under penalty of perjury under the laws
of the

State of California that I have read the annexed financial report and supporting
schedules and know the contents thereof to be true and correct to my best
knowledge and belief; and neither the licensee nor any partner, officer, or director
thereof have any proprietary interest in any account classified solely as that of a
customer.

Executed this _____ day of _____ at

City State

(Signature of person signing report)

(Title of person signing report)

(Name of Licensee)

(File Number)

INSTRUCTIONS:

If the broker-dealer or investment adviser is a sole proprietorship, the verification shall be Made by the proprietor; if a partnership, by a general partner; or if a corporation, by a duly authorized officer.