

STATE OF CALIFORNIA –DEPARTMENT OF BUSINESS OVERSIGHT
MINIMUM FINANCIAL REQUIREMENTS WORKSHEET
 DBO - 260.237.2 (Rev. 4-16)



STATE OF CALIFORNIA
BUSINESS, CONSUMER SERVICES AND HOUSING
AGENCY
Department of Business Oversight

CRD NUMBER: _____

APPLICANT'S NAME: _____

COMPUTATIONS AS OF: _____ / _____ / _____

TYPE OF REPORT (Initial, Annual, Interim): _____

SUMMARY:

NET WORTH	From (b) below	A	
MINIMUM NET WORTH REQUIRED	[Per Section 260.237.2(a)(b) CCR]	B	
EXCESS/ (DEFICIT) IN NET WORTH	[A-B]		
EXCESS/ (DEFICIT) IN NET WORTH @120%	From (d) below	C	

NET WORTH [Per Section 260.237.2(d)]

TOTAL ASSETS			
Less: EXCLUDED ASSETS	From (c) below	a	
Total Allowed Assets			
TOTAL LIABILITIES			
NET WORTH (Total Allowed Assets - Total Liabilities)	(Transfer to A above)	b	

EXCLUDED ASSETS [Per Section 260.237.2(d)]

NON CURRENT PREPAID EXPENSES			
DEFERRED CHARGES			
GOODWILL			
FRANCHISE RIGHTS			
ORGANIZATIONAL EXPENSES			
PATENTS			
COPYRIGHTS			
MARKETING RIGHTS			
UNAMORTIZED DEBT DISCOUNT AND EXPENSE			
ALL OTHER ASSETS OF INTANGIBLE NATURE			
HOME, HOME FURNISHINGS, AUTOMOBILES AND OTHER			
PERSONAL ITEMS NOT READILY MARKETABLE (INDIVIDUAL)			
ADVANCES OR LOANS TO STOCKHOLDERS AND OFFICERS			
ADVANCES OR LOANS TO PARTNERS (PARTNERSHIP)			
TOTAL EXCLUDED ASSETS	(Transfer to a above)	c	

120% TEST [Per Section 260.241.2(d)]

NET WORTH	(From (A) above)		
MINIMUM NET WORTH REQUIRED @ 120%	(From (B) above x 120%)		
EXCESS/ (DEFICIT) IN NET WORTH @120%	(Transfer to C Above)	d	