

STATE OF CALIFORNIA –DEPARTMENT OF BUSINESS OVERSIGHT  
**REAL ESTATE RELATED INFORMATION REQUIRED PURSUANT  
TO CORPORATIONS CODE SECTION 25102.2**

DBO-SB 978 Form (Rev. 09-15)



DEPARTMENT OF BUSINESS OVERSIGHT

APP NO., if any:

RE-\_\_\_\_\_

(Insert App No(s) of Previous Filings  
Before the Division, if any)

Check: Transaction Under Section Code

25102(e)

25102(f)

25102(h)

25102(n)

25100(p)

Name of Issuer:

\_\_\_\_\_

Issuer's State (or Other Jurisdiction) of Incorporation or Organization: \_\_\_\_\_

Address of Principal Place of Business:

\_\_\_\_\_  
Number and Street City State Zip Code

Mailing Address:

\_\_\_\_\_  
Number and Street City State Zip Code

Area Code and Telephone Number: \_\_\_\_\_

Title of class(s) of securities to be sold in the transaction: \_\_\_\_\_

- Names and titles of the issuer's officers and directors of the corporation, managers of a manager-managed limited liability company, members of a member-managed limited liability company, general partners in a limited partnership, or other persons performing similar functions for other types of issuers.

\_\_\_\_\_  
Full Name Title

If additional space is needed for the names, check this box and attach a separate sheet of paper to the form and label as Item 1.

STATE OF CALIFORNIA –DEPARTMENT OF BUSINESS OVERSIGHT  
**REAL ESTATE RELATED INFORMATION REQUIRED PURSUANT  
 TO CORPORATIONS CODE SECTION 25102.2**

DBO-SB 978 Form (Rev. 09-15)

3. List all state and federal licenses required to offer the securities and engage in real estate related activities. \_\_\_\_\_  
 \_\_\_\_\_  
 \_\_\_\_\_

License Number                      License Expiration Date

Name and Jurisdiction of Licensing Authority (complete for each license):

- California Department of Business Oversight’s Division of Corporations California
- Finance Lenders Law
- Corporate Securities Law of 1968
- Broker-Dealer
- Investment Adviser
- California Department of Real Estate, Real Estate Law
- Securities and Exchange Commission
- FINRA (Financial Industry Regulatory Authority)
- Other \_\_\_\_\_

If additional space is needed for the licenses, check this box and attach a separate sheet of paper to the form and label as Item 3.   

4. Names, titles and license numbers of all licensed persons who will undertake activities concerning the securities offering.

\_\_\_\_\_

Full Name	Title	License Number
-----------	-------	----------------

If additional space is needed for the names, check this box and attach a separate sheet of paper to the form and label as Item 4.   

\_\_\_\_\_  
 Authorized Signature on Behalf of Issuer <sup>1</sup>

\_\_\_\_\_  
 Print Name and Title of Signatory

\_\_\_\_\_  
 Date

<sup>1</sup> The form must be signed and dated by an authorized officer, director, general partner or trustee of the issuer (or a person occupying a position with the issuer of equivalent responsibility, or by the authorized attorney of the issuer.)Name, Address, Phone Number and Email of Contact Person: