

DEPARTMENT OF BUSINESS OVERSIGHT
SUMMARY OF PENDING APPLICATIONS AS OF SEPTEMBER 2015

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BANK APPLICATION**NEW BANK****Filed: 1 Approved: 1**

CORE COMMERCIAL BANK
4490 Von Karman Avenue, Newport Beach, Orange County
Correspondent: James B. Jones
Carpenter & Company
5 Park Plaza, Suite 950, Irvine, CA 92614
949-281-8888
Filed: 7/1/15

PV ACQUISITION BANK
255 East River Park Circle, Fresno, Fresno County
Correspondent: David L. Horstmann
Heartland Financial USA, Inc.
P.O. Box 778, Dubuque, IA 52004
Filed: 8/4/15
Approved: 9/7/15
(In connection with the acquisition of Premier Valley Bank, Fresno by Heartland
Financial USA, Inc., Dubuque, Iowa)

MERGER**Filed: 4 Approved: 2 Effected: 1**

AMERICA CALIFORNIA BANK, San Francisco, merged with and into FIRST
NATIONAL BANK OF NORTHERN CALIFORNIA, Daly City
Effected: 9/4/15

BANK OF SANTA BARBARA, THE, Santa Barbara, to merge with and into AMERICAN
RIVIERA BANK, Santa Barbara
Filed: 8/31/15

FIRST MOUNTAIN BANK, Big Bear Lake, to merge with and into PREMIER
BUSINESS BANK, Los Angeles
Filed: 7/31/15

PREMIER VALLEY BANK, Fresno, to merge with and into PV ACQUISITION BANK,
with change of name to PREMIER VALLEY BANK
Filed: 8/4/15
Approved: 9/7/15
(In connection with the acquisition of Premier Valley Bank, Fresno by Heartland
Financial USA, Inc., Dubuque, Iowa)

BANK APPLICATION**MERGER (Continued)**

SQUARE 1 BANK, Durham, North Carolina, to merge with and into PACIFIC WESTERN BANK, Los Angeles, California

Filed: 4/1/15

Approved: 6/26/15

SUTTER COMMUNITY BANK, Yuba City, to merge with and into SUNCREST BANK, Visalia

Filed: 9/18/15

UNITED INTERNATIONAL BANK, Flushing, New York, to merge with and into PREFERRED BANK, Los Angeles, California

Filed: 8/14/15

CONVERSION TO STATE-CHARTERED BANK

Filed: 1

COAST NATIONAL BANK, to convert to state-chartered bank under the name of COAST BUSINESS BANK or COAST COMMUNITY BANK

Filed: 6/15/15

CONVERSION TO STATE-CHARTERED MUTUAL SAVINGS ASSOCIATION

Filed: 1

MONTEREY CREDIT UNION, to convert to a state-chartered mutual savings association under the name COMMUNITY SAVINGS BANK OF MONTEREY

Filed: 9/16/14

PURCHASE OF PARTIAL BUSINESS UNIT

Effected: 3

AMERICAS UNITED BANK, Glendale, to acquire the Santa Fe Springs and Commerce branches of BANC OF CALIFORNIA, NATIONAL ASSOCIATION, Irvine

Filed: 7/20/15

Approved: 9/8/15

Effected: 9/25/15

BANK OF STOCKTON, Stockton, to acquire the Brentwood and Napa branches of FIRST BANK, Creve Coeur, Missouri

Filed: 4/13/15

Approved: 5/15/15

Effected: 9/25/15

BANK APPLICATION**PURCHASE OF PARTIAL BUSINESS UNIT (Continued)**

BANK OF STOCKTON, Stockton, to acquire the Fairfield branch of FIRST BANK,
Creve Coeur, Missouri

Filed: 7/8/15

Approved: 8/12/15

Effectuated: 9/25/15

ACQUISITION OF CONTROL

Filed: 4 Approved: 3

GOLDEN STATE BANCORP, to acquire control of GOLDEN STATE BANK

Filed: 8/27/15

HEARTLAND FINANCIAL USA, INC. to acquire control of PV ACQUISITION BANK
(Proposed)

Filed: 8/4/15

Approved: 9/8/15

(In connection with the acquisition of Premier Valley Bank, Fresno by Heartland
Financial USA, Inc., Dubuque, Iowa)

IRVING ("BUD") MOORE FELDKAMP III, to acquire control of SEACOAST
COMMERCE BANK

Filed: 7/23/15

Approved: 8/27/15

JOHN RICHARDSON and JULIA INESTROZA to acquire control of PAN AMERICAN
BANK

Filed: 8/17/15

Approved: 8/31/15

PACIFIC COMMERCE BANCORP to acquire control of PACIFIC COMMERCE BANK

Filed: 8/24/15

PRIVATE BANCORP OF AMERICA, INC., to acquire control of SAN DIEGO PRIVATE
BANK

Filed: 8/28/15

SANG YOUNG LEE, CHUN YOUNG LEE and LEE'S GOLD AND DIAMOND IMPORT,
INC., to acquire control of PACIFIC CITY BANK

Filed: 9/17/15

BANK APPLICATION**NEW BRANCH****No Objection: 1 Opened: 6**

BANK OF THE WEST
1965 W 38TH Avenue, Denver, Denver, Colorado
Opened: 9/21/15

COUNTY COMMERCE BANK
2663 Townsgate Road, Westlake Village, Ventura County
Opened: 9/8/15

GOLDEN STATE BANK
500 North Brand Boulevard, Glendale, Los Angeles County
No Objection: 1/23/15

PLAZA BANK
12750 High Bluff Drive, San Diego, San Diego County
Opened: 9/18/15

OPUS BANK
525 SW Broadway, Portland, Multnomah County, Oregon
Opened: 9/28/15

RIVER CITY BANK
1646 N. California Boulevard, Walnut Creek, Contra Costa County
Opened: 9/24/15

WESTAMERICA BANK
1333 Willow Pass Road, Concord, Contra Costa County
Opened: 9/28/15

NEW FACILITY**No Objection: 1 Opened: 1**

CALIFORNIA BANK OF COMMERCE
1300 Clay Street, Oakland, Alameda County
Opened: 9/8/15

CALIFORNIA UNITED BANK
2655 First Street, Simi Valley, Ventura County
No Objection: 9/21/15

BANK APPLICATION**HEAD OFFICE RELOCATION****No Objection: 1 Effectuated: 1****CALIFORNIA BUSINESS BANK**

From 800 W. 6th Street, Los Angeles, Los Angeles County to 3200 El Camino Real,
Irvine, Orange County

No Objection: 5/20/15

Effectuated: 9/1/15

GOLDEN STATE BANK

From 1060 West Foothill Boulevard, Upland, to 500 North Brand Boulevard, Glendale,
Los Angeles County

No Objection: 8/25/15

BRANCH RELOCATION**No Objection: 1 Effectuated: 2****CATHAY BANK**

From 4928 North Broadway, to 5000 North Broadway, Chicago, Cook County, Illinois

Effectuated: 9/28/15

CITIZENS BUSINESS BANK

From 109 South Del Mar Avenue, to 850 E. Las Tunas Drive, San Gabriel, Los Angeles
County

Effectuated: 9/2/15

FIRST NORTHERN BANK OF DIXON

From 2150 Douglas Boulevard, to 2270 Douglas Boulevard, Roseville, Placer County

No Objection: 9/2/15

DISCONTINUANCE OF BRANCH OFFICE**No Objection: 16 Discontinued: 3****BANAMEX USA**

- 5015 Westheimer Road, Houston, Harris County, Texas
- 15900 La Cantera Parkway, San Antonio, Bexar County, Texas

No Objection: 8/6/15

BANK OF THE WEST

- 80 N. 17th Avenue, Cornelius, Washington County, Oregon
- 1301 Molalla Avenue, Oregon City, Clackamas County, Oregon

No Objection: 2/18/15

BANK APPLICATION**DISCONTINUANCE OF BRANCH OFFICE (Continued)****BANK OF THE WEST**

3674 W 38TH Avenue, Denver, Denver County, Colorado

No Objection: 5/7/15

Discontinued: 9/18/15

BANK OF THE WEST

3856 West 5400 South, Salt Lake City, Salt Lake, Utah

No Objection: 9/16/15

BBCN BANK

831 N. Pacific Avenue, Glendale, Los Angeles County

No Objection: 6/30/15

CALIFORNIA UNITED BANK

2655 First Street, Simi Valley, Ventura County

No Objection: 9/21/15

EAST WEST BANK

1027 Blalock Road, Houston, Harris County, Texas

No Objection: 3/25/15

EAST WEST BANK

- 4355 Geary Boulevard, San Francisco, San Francisco County

- 711 Van Ness Avenue, San Francisco, San Francisco County

No Objection: 7/27/15

HANMI BANK

6400 Brandon Avenue, Springfield, Fairfax County, Virginia

No Objection: 5/12/15

OPUS BANK

3975-B Alton Parkway, Irvine, Orange County

No Objection: 6/25/14

PACIFIC WESTERN BANK

400 S. San Fernando Mission Boulevard, San Fernando, Los Angeles County

No Objection: 8/24/15

VALLEY BUSINESS BANK

174 S. Burnett Road, Tipton, Tulare County

No Objection: 5/5/15

WESTAMERICA BANK

9470 Main Street, Upper Lake, Lake County

No Objection: 2/13/15

Extended: 10/15/15

BANK APPLICATION**DISCONTINUANCE OF BRANCH OFFICE (Continued)**

WESTAMERICA BANK
 1400 Clay Street, Napa, Napa County
 No Objection: 4/30/15
 Discontinued: 9/21/15

WESTAMERICA BANK
 2400 Willow Pass Road, Concord, Contra Costa County
 No Objection: 5/18/15
 Discontinued: 9/25/15

DISCONTINUANCE OF FACILITY

No Objection: 2 Discontinued: 4

COMMUNITY BANK OF SANTA MARIA
 122 E. Betteravia Road, Santa Maria, Santa Barbara County
 No Objection: 1/20/15

FARMERS AND MERCHANTS BANK OF LONG BEACH
 225 Lake Avenue, Pasadena, Los Angeles County
 No Objection: 2/19/15

FRIENDLY HILLS BANK
 15615 Alton Parkway, Irvine, Orange County
 Discontinued: 8/31/15

OPUS BANK
 • 303 Twin Dolphin Drive, Redwood City, San Mateo County
 • 50 California Street, City and County of San Francisco
 Discontinued: 8/31/15

OPUS BANK
 121 Southwest Salmon Street, Portland, Multnomah County, Oregon
 Discontinued: 9/30/15

PREMIUM FINANCE COMPANY APPLICATION**NEW PREMIUM FINANCE COMPANY**

Filed: 2 Approved: 2

ETI OF CALIFORNIA
 660 Newport Center Drive, Newport Beach, Orange County
 Filed: 8/28/15

PREMIUM FINANCE COMPANY APPLICATION

NEW PREMIUM FINANCE COMPANY (Continued)

HERITAGE PREMIUM FINANCE COMPANY
660 Newport Center Drive, Newport Beach, Orange County
Filed: 8/26/15
Approved: 9/16/15

NORTHERN INVESTORS COMPANY
20011 Ballinger Way NE, Shoreline, King County, Washington
Filed: 4/20/15
Approved: 6/25/15

WESTERN FINANCE COMPANY
660 Newport Center Drive, Newport Beach, Orange County
Filed: 8/28/15

ACQUISITION OF CONTROL

Filed: 1

PAUL NORMAN, to acquire control of PACIFIC PREMIUM FINANCE, INC.
Filed: 3/16/15

VOLUNTARY SURRENDER OF LICENSE

Effected: 1

AFCO PREMIUM ACCEPTANCE, INC.
Effected: 7/14/15

TRUST COMPANY APPLICATION

SALE OF PARTIAL BUSINESS UNIT

Filed: 1

CAPITAL GUARDIAN TRUST COMPANY, to sell its CAPITAL GROUP PRIVATE CLIENT SERVICES DIVISION to CAPITAL BANK AND TRUST COMPANY
Filed: 3/9/15

FOREIGN (OTHER NATION) BANK APPLICATION**NEW OFFICE****Approved: 1 Opened: 3**

MIZUHO BANK, LTD.
 201 Mission Street, City and County of San Francisco (Representative Office)
 (temporary location)
 Filed: 5/26/15
 Approved: 6/23/15
 Opened: 9/1/15

RABOBANK
 City of Roseville, County of Placer (Representative Office)
 Filed: 5/27/15
 Approved: 6/23/15

SOCIÉTÉ GÉNÉRALE
 • 18101 Von Karman Avenue, Irvine, Orange County (Representative Office)
 • 233 Wilshire Boulevard, Santa Monica, Los Angeles County (Representative Office)
 Filed: 7/1/15
 Approved: 9/7/15
 Opened: 9/14/15

DISCONTINUANCE OF OFFICE**Filed: 1 Effected: 1**

CATHAY UNITED BANK, LTD.
 707 Wilshire Boulevard, Los Angeles, Los Angeles County
 Effected: 9/15/15

KASIKORNBANK PCL
 601 South Figueroa Street, Los Angeles, Los Angeles County (Nondepository Agency)
 (in connection with the transformation of the Nondepository Agency to a Representative
 Office)
 Filed: 9/25/15

SALE OF PARTIAL BUSINESS UNIT**Effected: 1**

CHONG HING BANK, LIMITED, to sell a partial business unit to SHANGHAI
 COMMERCIAL BANK, LTD.
 Filed: 4/2/15
 Approved: 5/4/15
 Effected: 5/20/15

FOREIGN (OTHER STATE) BANK APPLICATION**NEW FACILITY****Filed: 3 No Objection: 3 Opened: 1**

AMERICAN EXPRESS BANK, FSB
 Centurion Lounge, San Francisco International Airport, City and County of San Francisco (Facility – Insured Bank)
 No Objection: 8/4/15

AMERICAN STOCK TRANSFER & TRUST COMPANY, LLC
 One Embarcadero Center, City and County of San Francisco (Facility – Uninsured Trust Company)
 Filed: 10/22/14

DUNHAM TRUST COMPANY
 10251 Vista Sorrento Parkway, San Diego, San Diego County (Facility – Uninsured Trust Company)
 Filed: 9/26/14

FIRST BANK FINANCIAL CENTRE
 515 S. Flower Street, Los Angeles, Los Angeles County (Facility – Insured Bank)
 No Objection: 4/2/15

RELIANCE TRUST COMPANY OF DELAWARE
 444 South Flower Street, Los Angeles, Los Angeles County (Facility – Uninsured Trust Company)
 Filed: 3/18/13
 Approved: 3/25/14
 Opened: 5/12/14

ROBECO TRUST COMPANY
 City of Greenbrae, Marin County (Facility – Uninsured Trust Company)
 Filed: 4/20/15

UBS BANK USA
 301 East Ocean Boulevard, Long Beach, Los Angeles County (Facility – Insured Bank)
 No Objection: 12/10/12

CREDIT UNION APPLICATION**MERGER****Filed: 2**

FAIRVIEW EMPLOYEES FEDERAL CREDIT UNION, Costa Mesa, to merge with and into CREDIT UNION OF SOUTHERN CALIFORNIA, Anaheim
 Filed: 7/7/15

CREDIT UNION APPLICATION

MERGER (Continued)

ILWU-FSC FEDERAL CREDIT UNION, Oakland, to merge with and into ILWU CREDIT UNION, Wilmington

Filed: 9/1/15

CONVERSION TO STATE CHARTER

Filed: 3

1ST NORTHERN CALIFORNIA CREDIT UNION dba CONTRA COSTA FEDERAL CREDIT UNION, Martinez, to convert to a state-chartered credit union under the name

1ST NORTHERN CALIFORNIA CREDIT UNION

Filed: 3/12/15

GLENDALE AREA SCHOOLS FEDERAL CREDIT UNION, Glendale, to convert to a state-chartered credit union under the name GLENDALE AREA SCHOOLS CREDIT UNION

Filed: 8/22/14

S.T.A.R. COMMUNITY CREDIT UNION, Chico, to merge with and into MEMBERS 1ST CREDIT UNION, Redding

Filed: 8/10/15

MONEY TRANSMITTER APPLICATION

NEW TRANSMITTER

Filed: 11 Approved: 2

ADYEN, INC.

Filed: 5/15/15

ALIPAY US, INC.

Filed: 3/18/15

BILL.COM

Filed: 4/2/15

Approved: 8/4/15

CHIME INC.

Filed: 7/2/15

CIRCLE PAYMENTS, LLC

Filed: 9/22/15

JHA MONEY CENTER, INC.

Filed: 4/7/15

MONEY TRANSMITTER APPLICATION

NEW TRANSMITTER (Continued)

MICROSOFT PAYMENTS, INC.
Filed: 3/18/15

MONEYTUN LLC
Filed: 1/6/14
Approved: 4/25/15

PANGEA USA, LLC
Filed: 6/10/15

PLACID NK CORPORATION DBA PLACID EXPRESS
Filed: 5/12/15

SWANSON SERVICES CORPORATION
Filed: 4/8/15

TIMESOFMONEY, INC.
Filed: 6/10/14

WORLDREMIT CORP.
Filed: 4/6/15

ACQUISITION OF CONTROL

Filed: 2

PAYPAL, INC. to acquire control of XOOM CORPORATION
Filed: 8/3/15

TIO NETWORKS USA, INC. to acquire control of SOFTGATE SYSTEMS OF CALIFORNIA, INC.
Filed: 8/27/15

CHANGE OF NAME

Effected: 1

ITC FINANCIAL LICENSES, INC., to change its name to INCOMM FINANCIAL SERVICES, INC.
Effected: 9/30/15

MONEY TRANSMITTER APPLICATION

VOLUNTARY SURRENDER OF LICENSE

Effected: 1

TEMPO FINANCIAL U.S. CORPORATION
Effected: 9/29/15