

## Schedule T - Fiduciary and Related Services

(Trust Companies)

as of December 31, 2017

	(Column A) Managed Assets	(Column B) Non-Managed Assets	(Column C) Number of Managed Accounts	(Column D) Number of Non-Managed Accounts	
	(000s)	(000s)			
<b>FIDUCIARY AND RELATED ASSETS</b>					
4. Personal trust and agency accounts.....	5,535,427	2,706,991	1,898	469	4.
5. Employee benefit and retirement- related trust and agency accounts:					5.
a. Employee benefit - defined contribution.....	69,546	4,287,682	48	44	5.a.
b. Employee benefit - defined benefit.....	60,374	392,259	13	8	5.b.
c. Other employee benefit and retirement-related accounts.....	448,595	276,980	640	144	5.c.
6. Corporate trust and agency accounts.		2		1	6.
7. Investment management and investment advisory agency accounts.....	44,383,600	532,157	1,655	98	7.
8. Foundation and endowment trust and agency accounts	447,873	109,725	95	9	8.
9. Other fiduciary accounts .....		174,762		4	9.
10. Total fiduciary accounts (sum of items 4 through 9) .....	50,945,415	8,480,558	4,349	777	10.
11. Custody and safekeeping accounts.....		12,321,957		235	11.
12. Not applicable					
13. Individual Retirement Accounts, Health Savings Accounts, and other similar accounts (included in items 5c and 11)	223,854	169,379	365	136	13.

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as of December 31, 2017

Memoranda

1. Managed assets held in fiduciary accounts:

- a. Noninterest-bearing deposits.....
- b. Interest-bearing deposits.....
- c. U.S. Treasury and U.S. Government agency obligations.....
- d. State, county and municipal obligations.....
- e. Money market mutual funds.....
- f. Equity mutual funds.....
- g. Other mutual funds.....
- h. Common trust funds and collective investment funds.....
- i. Other short-term obligations.....
- j. Other notes and bonds.....
- k. Investments in unregistered funds and private equity investments.....
- l. Other common and preferred stocks.....
- m. Real estate mortgages.....
- n. Real estate.....
- o. Miscellaneous assets.....
- p. Total managed assets held in fiduciary accounts (for each column, sum of Memorandum items 1.a through 1.o)

- q. Investments of managed fiduciary accounts in advised or sponsored mutual funds.....

	(Column A)	(Column B)	(Column C)	
	Personal Trust and Agency and Investment Management Agency Accounts	Employee Benefit and Retirement-Related Trust and Agency Accounts	All Other Accounts	
	(000s)	(000s)	(000s)	
a.	-12,418	309	60	M.1.a.
b.	85,141	7,814	2,699	M.1.b.
c.	570,320	13,316	16,149	M.1.c.
d.	2,022,233	22,096	15,799	M.1.d.
e.	596,499	35,922	14,258	M.1.e.
f.	1,284,266	137,905	172,159	M.1.f.
g.	382,879	34,291	50,654	M.1.g.
h.	6,988,036			M.1.h.
i.	204,862			M.1.i.
j.	11,262,869	18,959	22,770	M.1.j.
k.	57,702	100		M.1.k.
l.	22,496,183	289,672	150,347	M.1.l.
m.	131,279	245		M.1.m.
n.	801,761	2,326	2,377	M.1.n.
o.	3,031,770	13,373		M.1.o.
p.	49,903,382	576,328	447,272	M.1.p.
		(Column A) Managed Assets	(Column B) Number of Managed Assets	
		588,305	923	M.1.q.

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**as of December 31, 2017**

Memoranda

2. Corporate trust and agency accounts:

	(Column A) Number of Issues	(Column B) Principal Amount Outstanding (000s)	
a. Corporate and municipal trusteeships.....			M.2.a.
(1) Issues reported in Memoranda 2a. that are in default.....			M.2.a.1
b. Transfer agent, registrar, paying agent, and other corporate agency.....			M.2.b.

3. Collective investment funds and common trust funds:

	(Column A) Number of Funds	(Column B) Market Value of Fund Assets (000s)	
a. Domestic equity.....			M.3.a.
b. International/Global equity.....			M.3.b.
c. Stock/Bond blend.....			M.3.c.
d. Taxable bond.....			M.3.d.
e. Municipal bond.....			M.3.e.
f. Short term investments/Money market.....			M.3.f.
g. Specialty/Other.....			M.3.g.
h. Total collective investment funds (sum of Memorandum items 3.a through 3.g).....			M.3.h.

**P. Trust Business for Which Securities Are on Deposit with the State Treasurer**

	(Column A) Court Trusts (000s)	(Column B) Private Trusts (000s)	
P.1. Trust business for which securities are on deposit with the State Treasurer.....	56,174	71,594,993	P.1.
P.2. Less: real estate.....			P.2.
P.3. Trust business on which security is required.....	56,174	71,594,993	P.3.
P.4. Amount of security required by Sections 1540 and 1541 of the Financial Code.....	1,800	650	P.4.
P.5. Market value of securities on deposit with the State Treasurer.....	2,066	1,326	P.5.
P.6. Excess or deficiency; denote negative amount in ( ).....	266	676	P.6.

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**INCOME**

FIDUCIARY AND RELATED SERVICES INCOME	(000s)	
14. Personal trust and agency accounts.....	36,698	14.
15. Employee benefit and retirement-related trust and agency accounts:		
a. Employee benefit-defined contribution .....	965	15.a.
b. Employee benefit-defined benefit.....	683	15.b.
c. Other employee benefit and retirement-related accounts.....	3,816	15.c.
16. Corporate trust and agency accounts .....	2	16.
17. Investment management and investment advisory agency accounts .....	200,520	17.
18. Foundation and endowment trust and agency accounts.....	2,661	18.
19. Other fiduciary accounts .....	67	19.
20. Custody and safekeeping accounts .....	1,693	20.
21. Other fiduciary and related services income.....	7,398	21.
22. Total gross fiduciary and related services income (sum of items 14 through 21) .....	254,503	22.
23. Less: Expenses.....	228,960	23.
24. Less: Net losses from fiduciary and related services.....	1,171	24.
25. Plus: Intracompany income credits for fiduciary and related services .....	1,858	25.
26. Net fiduciary and related services income .....	26,230	26.

Memoranda

Memoranda	(Column A) Gross Losses Managed Accounts (000s)	(Column B) Gross Losses Non-Managed Accounts (000s)	(Column C) Recoveries  (000s)	
4. Fiduciary settlements, surcharges, and other losses				
a. Personal trust and agency accounts.....	112	509		M.4.a.
b. Employee benefit and retirement-related trust and agency... accounts				M.4.b.
c. Investment management and investment advisory..... agency accounts.....	206	139		M.4.c.
d. Other fiduciary accounts and related services.....	205			M.4.d.
e. Total fiduciary settlements, surcharges, and other losses (sum of Memorandum items 4.a through 4.d) (sum of columns A and B minus column C must equal Schedule T, item 21).....	523	648		M.4.e.