

Schedule T - Fiduciary and Related Services

(Trust Companies)

as of June 30, 2017

	(Column A) Managed Assets	(Column B) Non-Managed Assets	(Column C) Number of Managed Accounts	(Column D) Number of Non-Managed Accounts	
	(000s)	(000s)			
FIDUCIARY AND RELATED ASSETS					
4. Personal trust and agency accounts.....	7,651,154	275,969	3,927	117	4.
5. Employee benefit and retirement-related trust and agency accounts:					5.
a. Employee benefit - defined contribution.....	122,105	524,070	97	125	5.a.
b. Employee benefit - defined benefit.....	174,201	94,764	18	5	5.b.
c. Other employee benefit and retirement-related accounts.....	595,436	202,923	1,047	173	5.c.
6. Corporate trust and agency accounts.				1	6.
7. Investment management and investment advisory agency accounts.....	3,410,686	10,899	1,705	5	7.
8. Foundation and endowment trust and agency accounts	431,570	104,055	247	34	8.
9. Other fiduciary accounts	18,897	108	22	3	9.
10. Total fiduciary accounts (sum of items 4 through 9)	12,404,049	1,212,788	7,063	463	10.
11. Custody and safekeeping accounts.....		15,985,942		1,159	11.
12. Not applicable					
13. Individual Retirement Accounts, Health Savings Accounts, and other similar accounts (included in items 5c and 11)	509,572	96,303	1,032	167	13.

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Memoranda

1. Managed assets held in fiduciary accounts:

- a. Noninterest-bearing deposits.....
- b. Interest-bearing deposits.....
- c. U.S. Treasury and U.S. Government agency obligations.....
- d. State, county and municipal obligations.....
- e. Money market mutual funds.....
- f. Equity mutual funds.....
- g. Other mutual funds.....
- h. Common trust funds and collective investment funds.....
- i. Other short-term obligations.....
- j. Other notes and bonds.....
- k. Investments in unregistered funds and private equity investments.....
- l. Other common and preferred stocks.....
- m. Real estate mortgages.....
- n. Real estate.....
- o. Miscellaneous assets.....
- p. Total managed assets held in fiduciary accounts (for each column, sum of Memorandum items 1.a through 1.o)

- q. Investments of managed fiduciary accounts in advised or sponsored mutual funds.....

	(Column A)	(Column B)	(Column C)	
	Personal Trust and Agency and Investment Management Agency Accounts	Employee Benefit and Retirement-Related Trust and Agency Accounts	All Other Accounts	
	(000s)	(000s)	(000s)	
	2,479	174	968	M.1.a.
	211,769	14,008	10,238	M.1.b.
	336,413	33,169	15,036	M.1.c.
	1,566,738	18,699	10,945	M.1.d.
	481,293	28,603	43,279	M.1.e.
	1,340,928	260,442	113,659	M.1.f.
	1,076,914	182,741	72,504	M.1.g.
				M.1.h.
	88,264			M.1.i.
	570,306	67,577	45,246	M.1.j.
	278,148	560	380	M.1.k.
	3,041,482	282,409	130,953	M.1.l.
	69,995	268	798	M.1.m.
	593,570		4,980	M.1.n.
	1,403,534	3,092	1,481	M.1.o.
	11,061,833	891,742	450,467	M.1.p.
		(Column A) Managed Assets	(Column B) Number of Managed Assets	
				M.1.q.

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Memoranda

2. Corporate trust and agency accounts:

(Column A) Number of Issues	(Column B) Principal Amount Outstanding (000s)	
		M.2.a.
(1) Issues reported in Memoranda 2a. that are in default.....		M.2.a.1
b. Transfer agent, registrar, paying agent, and other corporate agency.....	1	M.2.b.

3. Collective investment funds and common trust funds:

(Column A) Number of Funds	(Column B) Market Value of Fund Assets (000s)	
a. Domestic equity.....		M.3.a.
b. International/Global equity.....		M.3.b.
c. Stock/Bond blend.....		M.3.c.
d. Taxable bond.....		M.3.d.
e. Municipal bond.....		M.3.e.
f. Short term investments/Money market.....		M.3.f.
g. Specialty/Other.....		M.3.g.
h. Total collective investment funds (sum of Memorandum items 3.a through 3.g).....		M.3.h.

P. Trust Business for Which Securities Are on Deposit with the State Treasurer

	(Column A) Court Trusts (000s)	(Column B) Private Trusts (000s)	
P.1. Trust business for which securities are on deposit with the State Treasurer.....	427,873	28,030,745	P.1.
P.2. Less: real estate.....	57,666		P.2.
P.3. Trust business on which security is required.....	370,207	28,030,745	P.3.
P.4. Amount of security required by Sections 1540 and 1541 of the Financial Code.....	3,925	1,300	P.4.
P.5. Market value of securities on deposit with the State Treasurer.....	8,075	4,604	P.5.
P.6. Excess or deficiency; denote negative amount in ().....	4,150	3,304	P.6.

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INCOME

FIDUCIARY AND RELATED SERVICES INCOME	(000s)	
14. Personal trust and agency accounts.....	21,884	14.
15. Employee benefit and retirement-related trust and agency accounts:		
a. Employee benefit-defined contribution	1,320	15.a.
b. Employee benefit-defined benefit.....	362	15.b.
c. Other employee benefit and retirement-related accounts.....	2,294	15.c.
16. Corporate trust and agency accounts	1	16.
17. Investment management and investment advisory agency accounts	9,261	17.
18. Foundation and endowment trust and agency accounts.....	1,372	18.
19. Other fiduciary accounts	191	19.
20. Custody and safekeeping accounts	2,581	20.
21. Other fiduciary and related services income.....	78	21.
22. Total gross fiduciary and related services income (sum of items 14 through 21)	39,344	22.
23. Less: Expenses.....	32,909	23.
24. Less: Net losses from fiduciary and related services.....	154	24.
25. Plus: Intracompany income credits for fiduciary and related services	4,908	25.
26. Net fiduciary and related services income	11,189	26.

Memoranda	(Column A) Gross Losses Managed Accounts (000s)	(Column B) Gross Losses Non-Managed Accounts (000s)	(Column C) Recoveries (000s)	
4. Fiduciary settlements, surcharges, and other losses				
a. Personal trust and agency accounts.....	81	3		M.4.a.
b. Employee benefit and retirement-related trust and agency... accounts				M.4.b.
c. Investment management and investment advisory..... agency accounts.....	5			M.4.c.
d. Other fiduciary accounts and related services.....	49	15		M.4.d.
e. Total fiduciary settlements, surcharges, and other losses (sum of Memorandum items 4.a through 4.d) (sum of columns A and B minus column C must equal Schedule T, item 21).....	135	18		M.4.e.