

Schedule T - Fiduciary and Related Services

(State Chartered Banks)

as of September 30, 2018

	(Column A) Managed Assets	(Column B) Non-Managed Assets	(Column C) Number of Managed Accounts	(Column D) Number of Non-Managed Accounts	
	(000s)	(000s)			
FIDUCIARY AND RELATED ASSETS					
4. Personal trust and agency accounts.....	8,157,442	696,642	3,860	131	4.
5. Employee benefit and retirement-related trust and agency accounts:					5.
a. Employee benefit - defined contribution.....	229,624	572,990	104	131	5.a.
b. Employee benefit - defined benefit.....	178,904	101,061	17	5	5.b.
c. Other employee benefit and retirement-related accounts.....	634,424	203,416	1,102	156	5.c.
6. Corporate trust and agency accounts.					6.
7. Investment management and investment advisory agency accounts.....	3,398,571	2,569	1,778	4	7.
8. Foundation and endowment trust and agency accounts	461,235	137,238	260	32	8.
9. Other fiduciary accounts	2,921	105	5	1	9.
10. Total fiduciary accounts (sum of items 4 through 9)	13,063,121	1,714,021	7,126	460	10.
11. Custody and safekeeping accounts.....		13,909,749		1,121	11.
12. Not applicable					
13. Individual Retirement Accounts, Health Savings Accounts, and other similar accounts (included in items 5c and 11)	571,255	94,974	1,092	150	13.

Schedule T - Fiduciary and Related Services - Continued

Memoranda

	(Column A)	(Column B)	(Column C)	
	Personal Trust and Agency and Investment Management Agency Accounts	Employee Benefit and Retirement-Related Trust and Agency Accounts	All Other Accounts	
	(000s)	(000s)	(000s)	
1. Managed assets held in fiduciary accounts:				
a. Noninterest-bearing deposits.....	1,045	25	3	M.1.a.
b. Interest-bearing deposits.....	222,600	13,142	8,596	M.1.b.
c. U.S. Treasury and U.S. Government agency obligations.....	427,997	30,625	15,237	M.1.c.
d. State, county and municipal obligations.....	1,324,065	16,996	10,601	M.1.d.
e. Money market mutual funds.....	482,266	48,613	26,591	M.1.e.
f. Equity mutual funds.....	1,575,365	348,504	119,172	M.1.f.
g. Other mutual funds.....	1,147,299	199,065	82,491	
h. Common trust funds and collective investment funds.....				
i. Other short-term obligations.....	114,357			
j. Other notes and bonds.....	469,820	80,023	30,905	M.1.g.
k. Investments in unregistered funds and private equity investments.....	305,920	300		
l. Other common and preferred stocks.....	3,288,050	302,061	169,942	M.1.h.
m. Real estate mortgages.....	53,657	45		M.1.i.
n. Real estate.....	624,569	228	279	M.1.j.
o. Miscellaneous assets.....	1,518,907	3,325	340	M.1.k.
p. Total managed assets held in fiduciary accounts (for each column, sum of Memorandum items 1.a through 1.o)	11,555,917	1,042,952	464,157	

q. Investments of managed fiduciary accounts in advised or sponsored mutual funds.....

	(Column A) Managed Assets	(Column B) Number of Managed Assets

Memoranda

2. Corporate trust and agency accounts:

	(Column A) Number of Issues	(Column B) Principal Amount Outstanding (000s)	
a. Corporate and municipal trusteeships.....			M.2. a.
(1) Issues reported in Memoranda 2a. that are in default.....			M.2. a.1
b. Transfer agent, registrar, paying agent, and other corporate agency.....			M.2. b.

3. Collective investment funds and common trust funds:

	(Column A) Number of Funds	(Column B) Market Value of Fund Assets (000s)	
a. Domestic equity.....			M.3. a.
b. International/Global equity.....			M.3. b.
c. Stock/Bond blend.....			M.3. c.
d. Taxable bond.....			M.3. d.
e. Municipal bond.....			M.3. e.
f. Short term investments/Money market.....			M.3. f.
g. Specialty/Other.....			M.3. g.
h. Total collective investment funds (sum of Memorandum items 3. a through 3. g).....			M.3. h.

P. Trust Business for Which Securities Are on Deposit with the State Treasurer

	(Column A) Court Trusts (000s)	(Column B) Private Trusts (000s)	
P.1. Trust business for which securities are on deposit with the State Treasurer.....	448,129	26,932,176	P. 1.
P.2. Less: real estate.....	60,630		P. 2.
P.3. Trust business on which security is required.....	387,499	26,932,176	P. 3.
P.4. Amount of security required by Sections 1540 and 1541 of the Financial Code.....	3,925	1,300	P. 4.
P.5. Market value of securities on deposit with the State Treasurer.....	9,657	5,138	P. 5.
P.6. Excess or deficiency; denote negative amount in ().....	5,732	3,838	P. 6.

Schedule T - Fiduciary and Related Services
(State Chartered Banks)
as of September 30, 2018

SCHEDULE T -Fiduciary and Related Services

INCOME

FIDUCIARY AND RELATED SERVICES INCOME	(000s)	
14. Personal trust and agency accounts.....	32,372	14.
15. Employee benefit and retirement-related trust and agency accounts:		
a. Employee benefit-defined contribution	2,374	15.a.
b. Employee benefit-defined benefit.....	573	15.b.
c. Other employee benefit and retirement-related accounts.....	3,574	15.c.
16. Corporate trust and agency accounts		16.
17. Investment management and investment advisory agency accounts	14,383	17.
18. Foundation and endowment trust and agency accounts	2,282	18.
19. Other fiduciary accounts	1	19.
20. Custody and safekeeping accounts	4,365	20.
21. Other fiduciary and related services income.....	238	21.
22. Total gross fiduciary and related services income (sum of items 14 through 21)	60,162	22.
23. Less: Expenses.....	50,934	23.
24. Less: Net losses from fiduciary and related services.....	581	24.
25. Plus: Intracompany income credits for fiduciary and related services	6,205	25.
26. Net fiduciary and related services income	14,853	26.

Memoranda

Memoranda	(Column A) Gross Losses Managed Accounts (000s)	(Column B) Gross Losses Non-Managed Accounts (000s)	(Column C) Recoveries (000s)	
4. Fiduciary settlements, surcharges, and other losses				
a. Personal trust and agency accounts.....	570	1	5	M.4.a.
b. Employee benefit and retirement-related trust and agency accounts	14			M.4.b.
c. Investment management and investment advisory..... agency accounts.....	4			M.4.c.
d. Other fiduciary accounts and related services.....	29	48		M.4.d.
e. Total fiduciary settlements, surcharges, and other losses (sum of Memorandum items 4.a through 4.d) (sum of columns A and B minus column C must equal Schedule T, item 21).....	617	49	5	M.4.e.