

## Schedule T - Fiduciary and Related Services

(State Chartered Banks)

as of September 30, 2017

	(Column A) Managed Assets	(Column B) Non-Managed Assets	(Column C) Number of Managed Accounts	(Column D) Number of Non-Managed Accounts	
	(000s)	(000s)			
<b>FIDUCIARY AND RELATED ASSETS</b>					
4. Personal trust and agency accounts.....	7,790,428	296,688	3,896	115	4.
5. Employee benefit and retirement-related trust and agency accounts:					5.
a. Employee benefit - defined contribution.....	221,596	512,561	105	130	5.a.
b. Employee benefit - defined benefit.....	178,713	98,294	19	5	5.b.
c. Other employee benefit and retirement-related accounts.....	588,495	202,569	1,048	167	5.c.
6. Corporate trust and agency accounts.....		10		1	6.
7. Investment management and investment advisory agency accounts.....	3,484,867	10,759	1,708	4	7.
8. Foundation and endowment trust and agency accounts.....	434,031	108,751	258	34	8.
9. Other fiduciary accounts.....	17,434	108	21	4	9.
10. Total fiduciary accounts (sum of items 4 through 9).....	12,715,564	1,229,740	7,055	460	10.
11. Custody and safekeeping accounts.....		16,101,220		1,139	11.
12. Not applicable					
13. Individual Retirement Accounts, Health Savings Accounts, and other similar accounts (included in items 5c and 11)	525,321	95,557	1,039	161	13.

### Schedule T - Fiduciary and Related Services - Continued

Memoranda	(Column A)	(Column B)	(Column C)	
	Personal Trust and Agency and Investment Management Agency Accounts	Employee Benefit and Retirement-Related Trust and Agency Accounts	All Other Accounts	
	(000s)	(000s)	(000s)	
1. Managed assets held in fiduciary accounts:				
a. Noninterest-bearing deposits.....	541	47	57	M.1.a.
b. Interest-bearing deposits.....	209,216	14,889	10,718	M.1.b.
c. U.S. Treasury and U.S. Government agency obligations.....	380,841	32,127	14,303	M.1.c.
d. State, county and municipal obligations.....	1,518,871	18,079	11,369	M.1.d.
e. Money market mutual funds.....	495,626	48,522	38,814	M.1.e.
f. Equity mutual funds.....	1,433,014	324,659	118,428	M.1.f.
g. Other mutual funds.....	1,120,260	186,145	71,524	
h. Common trust funds and collective investment funds.....				
i. Other short-term obligations.....	90,445			
j. Other notes and bonds.....	581,467	72,685	44,864	M.1.g.
k. Investments in unregistered funds and private equity investments.....	285,614	279	231	
l. Other common and preferred stocks.....	3,107,579	287,541	135,590	M.1.h.
m. Real estate mortgages.....	62,829	1,242	785	M.1.i.
n. Real estate.....	574,620		4,605	M.1.j.
o. Miscellaneous assets.....	1,414,369	2,590	176	M.1.k.
p. Total managed assets held in fiduciary accounts (for each column, sum of Memorandum items 1.a through 1.o)	11,275,292	988,805	451,464	

q. Investments of managed fiduciary accounts in advised or sponsored mutual funds.....

	(Column A) Managed Assets	(Column B) Number of Managed Assets

Memoranda

2. Corporate trust and agency accounts:

	(Column A) Number of Issues	(Column B) Principal Amount Outstanding (000s)	
a. Corporate and municipal trusteeships.....			M.2.a.
(1) Issues reported in Memoranda 2a. that are in default.....			M.2.a.1
b. Transfer agent, registrar, paying agent, and other corporate agency.....	1		M.2.b.

3. Collective investment funds and common trust funds:

	(Column A) Number of Funds	(Column B) Market Value of Fund Assets (000s)	
a. Domestic equity.....			M.3.a
b. International/Global equity.....			M.3.b.
c. Stock/Bond blend.....			M.3.c.
d. Taxable bond.....			M.3.d.
e. Municipal bond.....			M.3.e.
f. Short term investments/Money market.....			M.3.f.
g. Specialty/Other.....			M.3.g.
h. Total collective investment funds (sum of Memorandum items 3.a through 3.g.).....			M.3.h.

**P. Trust Business for Which Securities Are on Deposit with the State Treasurer**

	(Column A) Court Trusts (000s)	(Column B) Private Trusts (000s)	
P.1. Trust business for which securities are on deposit with the State Treasurer.....	437,014	28,361,019	P.1.
P.2. Less: real estate.....	55,013		P.2.
P.3. Trust business on which security is required.....	382,001	28,361,019	P.3.
P.4. Amount of security required by Sections 1540 and 1541 of the Financial Code.....	4,025	1,300	P.4.
P.5. Market value of securities on deposit with the State Treasurer.....	9,269	4,494	P.5.
P.6. Excess or deficiency; denote negative amount in ( ).....	5,244	3,194	P.6.