

Schedule T - Fiduciary and Related Services

(State Chartered Banks)

as of March 31, 2017

	(Column A) Managed Assets	(Column B) Non-Managed Assets	(Column C) Number of Managed Accounts	(Column D) Number of Non-Managed Accounts	
	(000s)	(000s)			
FIDUCIARY AND RELATED ASSETS					
4. Personal trust and agency accounts.....	7,364,720	261,175	3,945	125	4.
5. Employee benefit and retirement- related trust and agency accounts:					5.
a. Employee benefit - defined contribution.....	113,611	515,417	86	131	5.a.
b. Employee benefit - defined benefit.....	172,829	93,344	19	5	5.b.
c. Other employee benefit and retirement-related accounts.....	571,895	206,487	1,033	180	5.c.
6. Corporate trust and agency accounts.				1	6.
7. Investment management and investment advisory agency accounts.....	3,323,821	10,665	1,700	4	7.
8. Foundation and endowment trust and agency accounts	406,174	102,734	227	33	8.
9. Other fiduciary accounts	24,586	109	21	3	9.
10. Total fiduciary accounts (sum of items 4 through 9)	11,977,636	1,189,931	7,031	482	10.
11. Custody and safekeeping accounts.....		15,542,328		1,170	11.
12. Not applicable					
13. Individual Retirement Accounts, Health Savings Accounts, and other similar accounts (included in items 5c and 11)	494,730	102,529	1,020	174	13.

Schedule T - Fiduciary and Related Services - Continued

Memoranda

	(Column A)	(Column B)	(Column C)	
	Personal Trust and Agency and Investment Management Agency Accounts	Employee Benefit and Retirement- Related Trust and Agency Accounts	All Other Accounts	
	(000s)	(000s)	(000s)	
1. Managed assets held in fiduciary accounts:				
a. Noninterest-bearing deposits.....	305	95	130	M.1.a.
b. Interest-bearing deposits.....	212,421	12,215	11,015	M.1.b.
c. U.S. Treasury and U.S. Government agency obligations.....	370,767	33,587	14,848	M.1.c.
d. State, county and municipal obligations.....	1,598,610	19,174	9,756	M.1.d.
e. Money market mutual funds.....	438,472	26,821	48,837	M.1.e.
f. Equity mutual funds.....	1,143,439	240,318	96,640	M.1.f.
g. Other mutual funds.....	1,028,847	178,442	67,748	
h. Common trust funds and collective investment funds.....				
i. Other short-term obligations.....	36,363			
j. Other notes and bonds.....	537,971	64,496	38,339	M.1.g.
k. Investments in unregistered funds and private equity investments.....	261,769	680	380	
l. Other common and preferred stocks.....	3,023,800	279,595	129,825	M.1.h.
m. Real estate mortgages.....	71,048	245		M.1.i.
n. Real estate.....	564,504		11,646	M.1.j.
o. Miscellaneous assets.....	1,283,829	2,666	1,595	M.1.k.
p. Total managed assets held in fiduciary accounts (for each column, sum of Memorandum items 1.a through 1.o)	10,572,145	858,334	430,759	

q. Investments of managed fiduciary accounts in advised or sponsored mutual funds.....

	(Column A) Managed Assets	(Column B) Number of Managed Assets

Memoranda

2. Corporate trust and agency accounts:

- a. Corporate and municipal trusteeships.....
- (1) Issues reported in Memoranda 2a. that are in default.....
- b. Transfer agent, registrar, paying agent, and other corporate agency.....

(Column A) Number of Issues	(Column B) Principal Amount Outstanding (000s)
1	

M.2.a.
M.2.a.1
M.2.b.

3. Collective investment funds and common trust funds:

- a. Domestic equity.....
- b. International/Global equity.....
- c. Stock/Bond blend.....
- d. Taxable bond.....
- e. Municipal bond.....
- f. Short term investments/Money market.....
- g. Specialty/Other.....
- h. Total collective investment funds (sum of Memorandum items 3.a through 3.g).....

(Column A) Number of Funds	(Column B) Market Value of Fund Assets (000s)

M.3.a
M.3.b.
M.3.c.
M.3.d.
M.3.e.
M.3.f.
M.3.g.
M.3.h.

P. Trust Business for Which Securities Are on Deposit with the State Treasurer

- P.1. Trust business for which securities are on deposit with the State Treasurer.....
- P.2. Less: real estate.....
- P.3. Trust business on which security is required.....
- P.4. Amount of security required by Sections 1540 and 1541 of the Financial Code.....
- P.5. Market value of securities on deposit with the State Treasurer.....
- P.6. Excess or deficiency; denote negative amount in ().....

(Column A) Court Trusts (000s)	(Column B) Private Trusts (000s)
410,870	27,191,397
56,593	
354,277	27,191,397
3,925	1,300
958,585	781,032
954,660	779,732

P.1.
P.2.
P.3.
P.4.
P.5.
P.6.

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as of March 31, 2017

SCHEDULE T -Fiduciary and Related Services

INCOME

FIDUCIARY AND RELATED SERVICES INCOME	(000s)	
14. Personal trust and agency accounts.....	10,347	14.
15. Employee benefit and retirement-related trust and agency accounts:		
a. Employee benefit-defined contribution	694	15.a.
b. Employee benefit-defined benefit.....	185	15.b.
c. Other employee benefit and retirement-related accounts.....	1,141	15.c.
16. Corporate trust and agency accounts	1	16.
17. Investment management and investment advisory agency accounts	4,730	17.
18. Foundation and endowment trust and agency accounts.....	576	18.
19. Other fiduciary accounts	133	19.
20. Custody and safekeeping accounts	1,356	20.
21. Other fiduciary and related services income.....	42	21.
22. Total gross fiduciary and related services income (sum of items 14 through 21)	19,205	22.
23. Less: Expenses.....	16,439	23.
24. Less: Net losses from fiduciary and related services.....	85	24.
25. Plus: Intracompany income credits for fiduciary and related services	2,555	25.
26. Net fiduciary and related services income	5,236	26.

Memoranda	(Column A) Gross Losses Managed Accounts (000s)	(Column B) Gross Losses Non-Managed Accounts (000s)	(Column C) Recoveries (000s)	
4. Fiduciary settlements, surcharges, and other losses				
a. Personal trust and agency accounts.....	52			M.4.a.
b. Employee benefit and retirement-related trust and agency accounts				M.4.b.
c. Investment management and investment advisory..... agency accounts.....	4			M.4.c.
d. Other fiduciary accounts and related services.....	13	16		M.4.d.
e. Total fiduciary settlements, surcharges, and other losses (sum of Memorandum items 4.a through 4.d) (sum of columns A and B minus column C must equal Schedule T, item 21).....	69	16		M.4.e.