

Schedule T - Fiduciary and Related Services

(State Chartered Banks)

as of December 31, 2014

	(Column A) Managed Assets	(Column B) Non-Managed Assets	(Column C) Number of Managed Accounts	(Column D) Number of Non-Managed Accounts	
	(000s)	(000s)			
FIDUCIARY AND RELATED ASSETS					
4. Personal trust and agency accounts.....	6,557,415	204,792	3,988	116	4.
5. Employee benefit and retirement-related trust and agency accounts:					5.
a. Employee benefit - defined contribution.....	115,493	491,435	90	162	5.a.
b. Employee benefit - defined benefit.....	159,302	77,869	22	5	5.b.
c. Other employee benefit and retirement-related accounts.....	513,578	200,561	1,005	223	5.c.
6. Corporate trust and agency accounts.....	23,075		3	1	6.
7. Investment management and investment advisory agency accounts.....	3,126,865	13,011	1,789	13	7.
8. Foundation and endowment trust and agency accounts.....	273,694	131,538	142	30	8.
9. Other fiduciary accounts.....	29,828	4,846	16	8	9.
10. Total fiduciary accounts (sum of items 4 through 9).....	10,799,250	1,124,052	7,055	558	10.
11. Custody and safekeeping accounts.....		17,009,826		1,225	11.
12. Not applicable					
13. Individual Retirement Accounts, Health Savings Accounts, and other similar accounts (included in items 5c and 11)	434,899	62,930	979	149	13.

Schedule T - Fiduciary and Related Services - Continued

Memoranda	(Column A)	(Column B)	(Column C)	
	Personal Trust and Agency and Investment Management Agency Accounts	Employee Benefit and Retirement-Related Trust and Agency Accounts	All Other Accounts	
	(000s)	(000s)	(000s)	
1. Managed assets held in fiduciary accounts:				
a. Noninterest-bearing deposits.....	6,234	416	94	M.1.a.
b. Interest-bearing deposits.....	143,423	9,514	3,001	M.1.b.
c. U.S. Treasury and U.S. Government agency obligations.....	250,466	24,459	10,488	M.1.c.
d. State, county and municipal obligations.....	1,362,283	7,954	4,475	M.1.d.
e. Money market mutual funds.....	506,495	31,171	34,706	M.1.e.
f. Equity mutual funds.....	1,240,100	238,990	64,094	M.1.f.
g. Other mutual funds.....	1,073,311	175,171	47,572	
h. Common trust funds and collective investment funds.....				
i. Other short-term obligations.....	82,857			
j. Other notes and bonds.....	493,073	56,447	35,532	M.1.g.
k. Investments in unregistered funds and private equity investments.....	239,465	736	504	
l. Other common and preferred stocks.....	2,552,954	231,176	84,823	M.1.h.
m. Real estate mortgages.....	38,557	8,983	5,202	M.1.i.
n. Real estate.....	846,775	1,159	32,887	M.1.j.
o. Miscellaneous assets.....	848,288	2,197	3,218	M.1.k.
p. Total managed assets held in fiduciary accounts (for each column, sum of Memorandum items 1.a through 1.o)	9,684,281	788,373	326,596	

q. Investments of managed fiduciary accounts in advised or sponsored mutual funds.....

	(Column A) Managed Assets	(Column B) Number of Managed Assets

Memoranda

2. Corporate trust and agency accounts:

	(Column A) Number of Issues	(Column B) Principal Amount Outstanding (000s)	
a. Corporate and municipal trusteeships.....			M.2.a.
(1) Issues reported in Memoranda 2a. that are in default.....			M.2.a.1
b. Transfer agent, registrar, paying agent, and other corporate agency.....	1		M.2.b.

3. Collective investment funds and common trust funds:

	(Column A) Number of Funds	(Column B) Market Value of Fund Assets (000s)	
a. Domestic equity.....			M.3.a
b. International/Global equity.....			M.3.b.
c. Stock/Bond blend.....			M.3.c.
d. Taxable bond.....			M.3.d.
e. Municipal bond.....			M.3.e.
f. Short term investments/Money market.....			M.3.f.
g. Specialty/Other.....			M.3.g.
h. Total collective investment funds (sum of Memorandum items 3.a through 3.g).....			M.3.h.

P. Trust Business for Which Securities Are on Deposit with the State Treasurer

	(Column A) Court Trusts (000s)	(Column B) Private Trusts (000s)	
P.1. Trust business for which securities are on deposit with the State Treasurer.....	331,508	26,588,822	P.1.
P.2. Less: real estate.....	43,933		P.2.
P.3. Trust business on which security is required.....	287,575	26,588,822	P.3.
P.4. Amount of security required by Sections 1540 and 1541 of the Financial Code.....	4,150	1,500	P.4.
P.5. Market value of securities on deposit with the State Treasurer.....	10,687	5,501	P.5.
P.6. Excess or deficiency; denote negative amount in ().....	6,537	4,001	P.6.

FIDUCIARY AND RELATED SERVICES INCOME		(000s)
14. Personal trust and agency accounts.....	38,312	14.
15. Employee benefit and retirement-related trust and agency accounts:		
a. Employee benefit-defined contribution	2,477	15.a.
b. Employee benefit-defined benefit.....	623	15.b.
c. Other employee benefit and retirement-related accounts.....	4,159	15.c.
16. Corporate trust and agency accounts	76	16.
17. Investment management and investment advisory agency accounts	16,618	17.
18. Foundation and endowment trust and agency accounts.....	1,568	18.
19. Other fiduciary accounts	137	19.
20. Custody and safekeeping accounts	4,707	20.
21. Other fiduciary and related services income.....	343	21.
22. Total gross fiduciary and related services income (sum of items 14 through 21)	69,020	22.
23. Less: Expenses.....	63,957	23.
24. Less: Net losses from fiduciary and related services.....	163	24.
25. Plus: Intracompany income credits for fiduciary and related services	9,119	25.
26. Net fiduciary and related services income	14,019	26.

Memoranda	(Column A) Gross Losses Managed Accounts (000s)	(Column B) Gross Losses Non-Managed Accounts (000s)	(Column C) Recoveries (000s)
4. Fiduciary settlements, surcharges, and other losses			
a. Personal trust and agency accounts.....	135	5	
b. Employee benefit and retirement-related trust and agency accounts	53		32
c. Investment management and investment advisory..... agency accounts.....	1		
d. Other fiduciary accounts and related services.....			
e. Total fiduciary settlements, surcharges, and other losses (sum of Memorandum items 4.a through 4.d) (sum of columns A and B minus column C must equal			