

## WHAT'S NEW:

The Commissioner of the California Department of Business Oversight invites interested parties to provide comments on the modifications to the proposed regulations concerning the new exemption for finders from the broker-dealer requirements under the Corporate Securities Law of 1968. The Commissioner seeks comments on or before April 14, 2017. (PRO 05/15)

## INVITATION FOR COMMENTS

### **File No. and Subject**

PRO 05/15

### **Documents**

- [Notice](#)
- [Text](#)

### **End of Comment Period**

April 14, 2017

### **Rules**

ADOPT: Title 10, Sections 260.211.4, 260.211.5, 260.211.6, and 260.211.7.