

STATE OF CALIFORNIA – DEPARTMENT OF FINANCIAL PROTECTION AND INNOVATION
REPORT OF APPLICABLE MINIMUM
DFPI-95 (Rev. 12-20)



To: _____ Date: _____

_____ hereby reports that the applicable
(Name of Foreign (Other Nation) Bank)
minimum for the maintenance period commencing on _____ is
\$ _____.

(Name of Foreign (Other Nation) Bank)

By _____
(Signature)

(Name and Title)

(Signature)

(Name and Title)

VERIFICATION

Each of the undersigned, for himself or herself alone and not for the other, certifies under penalty of perjury that the foregoing Report of Applicable Minimum is true and correct.

Executed on _____ at _____, California.
(Date) (City)

(Signature)

(Signature)

REPORT OF APPLICABLE MINIMUM

INSTRUCTIONS

A. General

Pursuant to Section 10.16226 of the Regulations of the Commissioner of Financial Protection and Innovation (the "Commissioner"), Form 95 and these instructions are to be used in cases where a foreign (other nation) bank (the "reporting bank") reports its applicable minimum for a maintenance period.

The definitions and general provisions in the Regulations of the Commissioner which are applicable to the provisions of the Regulations of the Commissioner relating to the deposit by a foreign (other nation) bank of eligible assets with an approved depository pursuant to Section 1811 of the Financial Code are applicable also to these instructions, and these instructions should be read in conjunction with such definitions and general provisions.

B. Contents

The report shall be completed as indicated in Form 95.

C. Signature

The report shall be signed in the name of the reporting bank by two officers of the reporting bank.

D. Verification

The verification shall be completed and signed by the two officers of the reporting bank who sign the report.

E. Copies

The original and one copy of the report (including the verification) shall be served on the Commissioner. Also, an executed copy of the report (including the verification) shall be served on the approved depository of the reporting bank.