

STATE OF CALIFORNIA – DEPARTMENT OF FINANCIAL PROTECTION AND INNOVATION
**REAL ESTATE RELATED INFORMATION REQUIRED PURSUANT TO CORPORATIONS
CODE SECTION 25102.2**

DFPI-SB 978 Form (Rev. 11-20) Page 2 of 2

3. List all state and federal licenses required to offer the securities and engage in real estate related activities.

License Number	License Expiration Date
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Name and Jurisdiction of Licensing Authority (complete for each license):

California Department of Financial Protection and Innovation’s Division of
Corporations California
Financing Law
Corporate Securities Law of 1968
Broker-Dealer
Investment Adviser
California Department of Real Estate, Real Estate Law
Securities and Exchange Commission
FINRA (Financial Industry Regulatory Authority)
Other _____

If additional space is needed for the licenses, check this box and attach a separate sheet of paper to the form and label as Item 3.

4. Names, titles and license numbers of all licensed persons who will undertake activities concerning the securities offering.

Full Name	Title	License Number
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If additional space is needed for the names, check this box and attach a separate sheet of paper to the form and label as Item 4.

Authorized Signature on Behalf of Issuer ¹	Print Name and Title of Signatory	Date
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Name, Address, Phone Number and E-mail of Contact Person

¹ The form must be signed and dated by an authorized officer, director, general partner or trustee of the issuer (or a person occupying a position with the issuer of equivalent responsibility, or by the authorized attorney of the issuer).