

DEPARTMENT OF BUSINESS OVERSIGHT
SUMMARY OF PENDING APPLICATIONS AS OF OCTOBER 2016

<u>APPLICATION TYPE</u>	<u>PAGE NO.</u>
BANK APPLICATION	
NEW BANK	1
MERGER	1
CONVERSION TO STATE-CHARTERED BANK	2
ACQUISITION OF CONTROL	2
PURCHASE OF PARTIAL BUSINESS UNIT	2
NEW FACILITY	3
HEAD OFFICE RELOCATION	3
BRANCH OFFICE RELOCATION	3
FACILITY RELOCATION	3
DISCONTINUANCE OF BRANCH OFFICE	4
DISCONTINUANCE OF FACILITY	6
INDUSTRIAL BANK APPLICATION	
ACQUISITION OF CONTROL	6
DISCONTINUANCE OF BRANCH OFFICE	6
PREMIUM FINANCE COMPANY APPLICATION	
NEW PREMIUM FINANCE COMPANY	6
TRUST COMPANY APPLICATION	
NEW TRUST COMPANY	7
DISCONTINUANCE OF BRANCH OFFICE	7
FOREIGN (OTHER NATION) BANK APPLICATION	
NEW OFFICE	8
RELOCATION OF OFFICE	8
FOREIGN (OTHER STATE) BANK APPLICATION	
NEW FACILITY	8
CREDIT UNION APPLICATION	
MERGER	9
MONEY TRANSMITTER APPLICATION	
NEW TRANSMITTER	10
ACQUISITION OF CONTROL	11

BANK APPLICATION**NEW BANK****Filed: 2 Approved: 2**

BLUE GATE BANK
611 Anton Boulevard, Costa Mesa, Orange County
Correspondent: Gary Steven Findley
Gary Steven Findley & Associates
3808 E. La Palma Avenue, Anaheim, CA 92807
714-630-7136
Filed: 2/23/16
Approved: 6/30/16

CORE COMMERCIAL BANK
4490 Von Karman Avenue, Newport Beach, Orange County
Correspondent: James B. Jones
Carpenter & Company
5 Park Plaza, Suite 950, Irvine, CA 92614
949-281-8888
Filed: 7/1/15
Approved: 11/18/15

ENDEAVOR BANK
401 West A Street, San Diego, San Diego County
Filed: 8/12/16
Correspondent: Maryam Hamzeh
Carpenter & Company
5 Park Plaza, Suite 950, Irvine, CA 92614
Filed: 8/12/16

SOCAL BANK
6 Hutton Centre Drive, Santa Ana, Orange County
Correspondent: Maryam Hamzeh
Carpenter & Company
5 Park Plaza, Suite 950, Irvine, CA 92614
Filed: 7/7/16

MERGER**Filed: 1 Approved: 1 Effected: 2**

CALIFORNIA REPUBLIC BANK, Irvine, to merge with and into MECHANICS BANK,
Walnut Creek
Filed: 5/25/16
Approved: 9/13/16
Effected: 10/1/16

BANK APPLICATION

MERGER (Continued)

DELTA BANK, NATIONAL ASSOCIATION, Manteca, to merge with and into FARMERS & MERCHANTS BANK OF CENTRAL CALIFORNIA, Lodi

Filed: 8/1/16

Approved: 8/30/16

SECURITY FIRST BANK, Fresno, to merge with and into SUNCREST BANK, Visalia

Filed: 10/3/16

SIERRA VISTA BANK, Folsom, to merge with and into CENTRAL VALLEY COMMUNITY BANK, Fresno

Filed: 5/31/16

Approved: 7/22/16

Effectuated: 10/1/16

CONVERSION TO STATE-CHARTERED BANK

Filed: 1 Approved: 1

FIRST NATIONAL BANK OF SOUTHERN CALIFORNIA, to convert to state-chartered bank under the name of C3 BANK

Filed: 5/16/16

UNITED BUSINESS BANK, FSB, to convert to state-chartered bank under the name of UNITED BUSINESS BANK

Filed: 11/1/15

Approved: 12/4/15

ACQUISITION OF CONTROL

Approved: 1

THE WASSERMAN MVB TRUST OF 2016, EARLE SAWYER WASSERMAN AS TRUSTEE ON BEHALF OF THE WASSERMAN MVB TRUST OF 2016 AND IN HIS INDIVIDUAL CAPACITY, AND LOUISE LINDA WASSERMAN AS TRUSTEE ON BEHALF OF THE WASSERMAN MVB TRUST OF 2016, to acquire control of MISSION VALLEY BANK

Filed: 7/14/16

Approved: 9/13/16

PURCHASE OF PARTIAL BUSINESS UNIT

Filed: 1

FIRST FOUNDATION BANK, Irvine, to acquire the Laguna Hills and Seal Beach branches of PACIFIC WESTERN BANK, Beverly Hills

Filed: 10/7/16

BANK APPLICATION

NEW FACILITY

Opened: 4

EAST WEST BANK
485 Madison Avenue, New York, New York County, New York
Opened: 10/11/16

FIRST REPUBLIC BANK
201 California Street, City and County of San Francisco
Opened: 11/1/15

LIGHTHOUSE BANK
19240 Stevens Creek Boulevard, Cupertino, Santa Clara County
Opened: 10/3/16

SANTA CRUZ COUNTY BANK
7473 N. Ingram, Fresno, Fresno County
Opened: 10/1/16

HEAD OFFICE RELOCATION

No Objection: 1

CALIFORNIA UNITED BANK
From 818 West 7th Street, to 700 South Flower Street, Los Angeles, Los Angeles
County
No Objection: 10/3/16

BRANCH OFFICE RELOCATION

Effected: 1

STATE BANK OF INDIA (CALIFORNIA)
From 523 W. Sixth Street, to 707 Wilshire Boulevard, Los Angeles, Los Angeles County
Effected: 10/11/16

FACILITY RELOCATION

Effected: 2

AMERICAN RIVIERA BANK
From 12 East Figueroa, to 18 East Figueroa Street, Santa Barbara, Santa Barbara
County
Effected: 5/1/16

BANK APPLICATION**FACILITY RELOCATION (Continued)****SILICON VALLEY BANK**

From 1355 NW Everett, to 306 SW First Avenue, Portland, Multnomah County, Oregon

Effected: 9/26/16

DISCONTINUANCE OF BRANCH OFFICE

No Objection: 18

Discontinued: 5

AMERICAS UNITED BANK

8255 Firestone Boulevard, Downey, Los Angeles County

No Objection: 11/9/15

Discontinued: 1/29/16

BANK OF THE WEST

3856 West 5400 South, Salt Lake City, Salt Lake County, Utah

No Objection: 10/2/15

BANK OF THE WEST

718 East Main Street, Lamoni, Decatur County, Iowa

No Objection: 6/15/16

BANK OF THE WEST

2041 Durfee Avenue, South El Monte, Los Angeles County

No Objection: 9/14/16

CENTRAL VALLEY COMMUNITY BANK

570 S. Clovis Avenue, Fresno, Fresno County

No Objection: 2/18/16

CITIZENS BUSINESS BANK

300 E. Esplanade Drive, Oxnard, Ventura County

No Objection: 4/21/16

COMMUNITY BANK OF SANTA MARIA

4869 S. Bradley Road, Santa Maria, Santa Barbara County

No Objection: 7/15/16

FIRST FOUNDATION BANK

1600 Newport Center Drive, Newport Beach, Orange County

No Objection: 8/25/16

MECHANICS BANK

1751 Howe Avenue, Sacramento, Sacramento County

No Objection: 9/21/16

BANK APPLICATION**DISCONTINUANCE OF BRANCH OFFICE (Continued)****PACIFIC MERCANTILE BANK**

31601 Avenida Los Cerritos, San Juan Capistrano, Orange County

No Objection: 2/4/16

PACIFIC MERCANTILE BANK

3257 Guasti Road, Ontario, Riverside County

No Objection: 5/14/16

PACIFIC MERCANTILE BANK

4225 Executive Square, La Jolla, San Diego County

No Objection: 6/1/16

PACIFIC PREMIER BANK

- 1110 Rosecrans Street, San Diego, San Diego County
- 13928 Seal Beach Boulevard, Seal Beach, Orange County

No Objection: 6/1/16

PACIFIC PREMIER BANK

1711 East Palm Canyon Drive, Palm Springs, Riverside County

No Objection: 6/1/16

Discontinued: 8/12/16

PLAZA BANK

8275 West Flamingo Road, Las Vegas, Clark County, Nevada

No Objection: 7/29/16

ROYAL BUSINESS BANK

- 1420 E. Valley Boulevard, Alhambra, Los Angeles County
- 7025 Orangethorpe Avenue, Buena Park, Orange County
- 18605 E. Gale Avenue, City of Industry, Los Angeles County

No Objection: 3/29/16

Discontinued: 5/27/16

SAVINGS BANK OF MENDOCINO COUNTY

414 East Perkins Street, Ukiah, Mendocino County

No Objection: 9/12/16

STATE BANK OF INDIA (CALIFORNIA)

2001 Pennsylvania Avenue NW, Washington D.C.

No Objection: 8/29/16

STATE BANK OF INDIA (CALIFORNIA)

2827 Park Avenue, Tustin, Orange County

No Objection: 8/31/16

BANK APPLICATION**DISCONTINUANCE OF BRANCH OFFICE (Continued)**

TRI COUNTIES BANK
1760 Challenge Way, Sacramento, Sacramento County
No Objection: 4/25/16

DISCONTINUANCE OF FACILITY**Discontinued: 2**

AMERICAN RIVER BANK
2603 Camino Ramon, San Ramon, Contra Costa County
Discontinued: 10/27/16

COMMUNITY 1ST BANK
1425 River Park Drive, Sacramento, Sacramento County
Discontinued: 8/1/16

INDUSTRIAL BANK APPLICATION**ACQUISITION OF CONTROL****Approved: 1**

RICHARD J. GREEN to acquire control of RANCHO SANTA FE THRIFT AND LOAN ASSOCIATION
Filed: 8/12/16
Approved: 9/29/16

DISCONTINUANCE OF BRANCH OFFICE**No Objection: 1**

COMMUNITY COMMERCE BANK
20929 Ventura Boulevard, Woodland Hills, Los Angeles County
No Objection: 9/29/16

PREMIUM FINANCE COMPANY APPLICATION**NEW PREMIUM FINANCE COMPANY****Filed: 4 Approved: 3**

CAPITAL PREMIUM FUNDING OF CALIFORNIA, INC.
818 West 7th Street, Los Angeles, Los Angeles County
Filed: 9/14/16

PREMIUM FINANCE COMPANY APPLICATION**NEW PREMIUM FINANCE COMPANY (Continued)**

FOUNDATION CAPITAL FINANCE CORPORATION
660 Newport Center Drive, Newport Beach, Orange County
Filed: 10/26/16

GOT PREMIUMS, INC.
16461 Sherman Way, Lake Balboa, Los Angeles County
Filed: 12/14/15
Approved: 3/16/16

PREMIER FINANCING, INC.
600 West Broadway, San Diego, San Diego County
Filed: 8/30/16
Approved: 10/6/16

PRIMEPLAN PREMIUM FINANCING INC.
601 E. Glenoaks Boulevard, Glendale, Los Angeles County
Filed: 8/15/16

RELIANCE PREMIUM FINANCE, INC.
2550 North Hollywood Way, Burbank, Los Angeles County
Filed: 9/21/16

WESTERN FINANCE COMPANY
660 Newport Center Drive, Newport Beach, Orange County
Filed: 8/28/15
Approved: 3/28/16

TRUST COMPANY APPLICATION**NEW TRUST COMPANY**

Filed: 1

BAY AREA TRUST (Proposed)
Downtown Palo Alto, Palo Alto, Santa Clara County
Filed: 6/9/16

DISCONTINUANCE OF BRANCH OFFICE

Discontinued: 1

SAN PASQUAL TRUST COMPANY
580 California Street, City and County of San Francisco
No Objection: 10/4/16
Discontinued: 10/7/16

FOREIGN (OTHER NATION) BANK APPLICATION**NEW OFFICE****Filed: 1 Opened: 1**

BNP PARIBAS

300 South Grand Avenue, Los Angeles, Los Angeles County (Representative Office)

Filed: 8/3/16

Approved: 9/21/16

Opened: 10/20/16

GOVERNOR AND COMPANY OF THE BANK OF IRELAND, THE

County of Los Angeles (Representative Office)

Filed: 10/6/16

RELOCATION OF OFFICE**Approved: 1**

Mizuho Bank, Ltd.

From 201 Mission Street, to 235 Pine Street, City and County of San Francisco

Filed: 10/17/16

Approved: 10/18/16

FOREIGN (OTHER STATE) BANK APPLICATION**NEW FACILITY****Filed: 2 No Objection: 3 Approved: 1 Opened: 2**

AMERICAN STOCK TRANSFER & TRUST COMPANY, LLC

One Embarcadero Center, City and County of San Francisco (Facility – Uninsured Trust Company)

Filed: 10/22/14

CUSTOMERS BANK

420 27th Street, Manhattan Beach, Los Angeles County (Facility – Insured Bank)

No Objection: 9/7/16

DEPOSITORY TRUST COMPANY OF DELAWARE, LLC

1695 Adolfo Lopez Drive, Seal Beach, Orange County (Facility – Uninsured Trust Company)

Filed: 12/21/15

Approved: 10/17/16

FOREIGN (OTHER STATE) BANK APPLICATION

NEW FACILITY (Continued)

FIRST BANK FINANCIAL CENTRE
515 S. Flower Street, Los Angeles, Los Angeles County (Facility – Insured Bank)
No Objection: 4/2/15

FIRST FINANCIAL NORTHWEST BANK
1717 14th Avenue, City and County of San Francisco (Facility – Insured Bank)
No Objection: 4/4/16
Opened: 6/1/16

PEOPLES NATIONAL BANK
City and County of San Diego (Facility – Insured Bank)
No Objection: 7/14/16
Opened: 8/19/16

ROBECO TRUST COMPANY
City of Greenbrae, Marin County (Facility – Uninsured Trust Company)
Filed: 4/20/15

UBS BANK USA
301 East Ocean Boulevard, Long Beach, Los Angeles County (Facility – Insured Bank)
No Objection: 12/10/12

CREDIT UNION APPLICATION

MERGER

Filed: 7 Effectuated: 2

BAKERY EMPLOYEES CREDIT UNION, to merge with and into BOURNS
EMPLOYEES FEDERAL CREDIT UNION, Riverside
Filed: 5/23/16

CHERRY EMPLOYEES FEDERAL CREDIT UNION, Santa Ana, to merge with and into
ORANGE COUNTY'S CREDIT UNION, Santa Ana
Filed: 8/16/16

CHEVRON VALLEY CREDIT UNION, Bakersfield, to merge with and into SAFE 1
CREDIT UNION, Bakersfield
Filed: 8/4/16

FISCAL CREDIT UNION, Glendale, to merge with and into UNIFY FINANCIAL
FEDERAL CREDIT UNION, Torrance
Filed: 5/4/16

CREDIT UNION APPLICATION**MERGER (Continued)**

HEALTH ASSOCIATES FEDERAL CREDIT UNION, Orange, to merge with and into
CREDIT UNION OF SOUTHERN CALIFORNIA, Anaheim

Filed: 4/14/16

Approved: 10/1/16

Effectuated: 10/1/16

NORTH ISLAND FINANCIAL CREDIT UNION, San Diego, to merge with and into
CALIFORNIA CREDIT UNION, Glendale

Filed: 4/6/16

PRINTING OFFICE EMPLOYEES CREDIT UNION, Covina, to merge with and into
PASADENA SERVICE FEDERAL CREDIT UNION, Pasadena

Filed: 5/20/16

Approved: 10/1/16

Effectuated: 10/1/16

SO-VAL TEL FEDERAL CREDIT UNION, Fresno to merge with and into
EDUCATIONAL EMPLOYEES CREDIT UNION, Fresno

Filed: 8/26/16

UNITED FINANCIAL CREDIT UNION, Whittier, to merge with and into CREDIT UNION
OF SOUTHERN CALIFORNIA, Whittier

Filed: 5/17/16

MONEY TRANSMITTER APPLICATION**NEW TRANSMITTER**

Filed: 4

Approved: 2

Opened: 3

ALIPAY US, INC.

Filed: 3/18/15

BILL.COM

Filed: 4/2/15

Approved: 8/4/15

CHIME INC.

Filed: 7/2/15

Approved: 7/11/16

Opened: 10/11/16

HSI USA INC.

Filed: 6/6/16

MONEY TRANSMITTER APPLICATION**NEW TRANSMITTER (Continued)**

RAKUTEN CARD USA, INC.

Filed: 3/7/16

Approved: 9/23/16

TILIA, INC.

Filed: 4/11/16

Approved: 7/19/16

Opened: 10/14/16

TIMESOFMONEY, INC.

Filed: 6/10/14

TRANSFERWISE INC.

Filed: 11/13/15

Approved: 6/1/16

Opened: 10/7/16

YAPSTONE, INC.

Filed: 6/22/16

ACQUISITION OF CONTROL**Approved: 2**

TKC HOLDINGS, INC., to acquire control of KEEFE COMMISSARY NETWORK, LLC

Filed: 6/8/16

Approved: 9/23/16

TKC HOLDINGS, INC. to acquire control of SWANSON SERVICES CORPORATION

Filed: 8/4/16

Approved: 9/23/16