

STATE OF CALIFORNIA – DEPARTMENT OF FINANCIAL PROTECTION AND INNOVATION
**NOTICE OF ISSUANCE OF SECURITIES PURSUANT TO SUBDIVISION (o) OF
SECTION 25102 OF THE CORPORATIONS CODE**



DFPI-260.102.19 (Register 2002, No. 39) (Rev. 11-20)

(Department of Financial Protection and
Innovation Use Only)
Fee Paid \$ _____

DEPARTMENT OF FINANCIAL
PROTECTION AND INNOVATION
NOTICE ID: _____

Check if Increase in Dollar Amount
Note: Report only the amount of increase

(Insert Notice ID of Previous
Filings Before the Department, If Any)

FEE: \$ _____

(See Corporations Code Section 25608(y) and Section 25608(e).

The fee is based on the current market value of the securities, or in the case of options, the underlying securities.)

1. Name of Issuer: _____

2. State of Incorporation or Organization: _____

3. Address of Principal Place of Business:

Number and Street	City	State	Zip Code
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4. The security is issued pursuant to a: **(Check One)**

Purchase Plan or Agreement

Name of Security: _____

Number of Securities: _____

Price Per Security: \$ _____

Option Plan or Agreement.

Name and Number of Options: _____

Name and Number of the Underlying Securities: _____

Exercise Price Per Security: \$ _____

"Flexible" Purchase/Option Plan or Agreement.

Name of Security/Option: _____

Number of Securities/Options: _____

Name and Number of the Underlying Securities: _____

Price Per Security: \$ _____

5. Aggregate current market value of securities sought to be sold in California: _____

6. Date of First Sale: _____

Check if Issuer has
a consent to service of process
on file with the Commissioner

Name of Issuer

Authorized Signature on Behalf of Issuer

Print Name and Title of Signatory

Name, Address and Phone Number of Contact Person:

Instructions: Each issuer (other than a California corporation) filing a notice under Section 25102(o) must file a consent to service of process (Form 260.165), unless it already has a consent to service on file with the Commissioner.